## Item 1 - Cover Page

# Shu Kaneko


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This brochure supplement provides information about Shu Kaneko that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Shu Kaneko is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 – Educational Background and Business Experience

Mr. Kaneko was born in 1966. He attended Tokyo Institute of Technology and received a Bachelor of Computer Science degree in 1993. He then attended Georgetown University - The Robert Emmett McDonough School of Business obtaining a Master of Business Administration. From 2012 until 2026 he was the owner of Ncl International. He worked at Pruco Securities from 2022 until 2024. He then worked at LPL Enterprise from 2024 until 2026. In 2026 he joined EMP Financial Network Inc as an Advisor, Simplicity Investments as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

## Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Kaneko has no such issues on his record.

## Item 4 - Other Business Activities

Mr. Kaneko sells commission-based products (such as insurance or annuities) as a registered representative of Simplicity Investments, a FINRA-registered broker-dealer.  He also works as a real estate agent for Bluepine Real Estate.

## Item 5 - Additional Compensation

Mr. Kaneko provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

## Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don’t match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-500-8943, or compliance@tlgadvisors.net.