

**Item 1 - Cover Page**

**Francis T. Johnson**

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This brochure supplement provides information about Francis T. Johnson that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Francis T. Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 – Educational Background and Business Experience**

Mr. Johnson was born in 1974. He attended SUNY Oswego and received his BS in Business Administration. He currently holds the designations Certified Financial Planner (CFP), Chartered Life Underwriter (CLU), and Certification for Long-Term Care (CLTC) From 2022 until 2023 he was a Brokerage Director at Guardian. From 2018 until 2022 he was a Brokerage Director at Mass Mutual Life.

From 2013 until 2018 he was a Regional Vice President at AXA Distributors. From 2023 until 2025 he was co-owner of Griffin Distribution Partners. In 2025 he joined Assured Allies as Director of New Business Development Financial Institutions and National Accounts. In 2023 he joined Simplicity Investments, Inc. as a Registered Representative and in 2024 joined TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Johnson has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Johnson sells commission-based products (such as insurance or annuities) as a registered representative of Simplicity Investments, Inc., a FINRA-registered broker-dealer. He is also works at Assured Allies as Director of New Business Development Financial Institutions and National Accounts.

### **Item 5 - Additional Compensation**

Mr. Johnson provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-500-8943, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).