

## **Item 1 - Cover Page**

# **Stanley Roy**

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# **TLG Advisors, Inc.**

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This brochure supplement provides information about Stanley Roy that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Stanley Roy is available on the SEC's website at www.adviserinfo.sec.gov.

## **Item 2 – Educational Background and Business Experience**

Mr. Roy was born in 1992. He received his BA from Drury University. He obtained his MS in Accounting from Oklahoma State University. He worked as a CPA from 1974 until 2013. From 2018 until 2025 he was a Financial Advisor with Ameriprise Financial Services Inc. Since 2011 he has been the owner of Heritage Tax & Consulting Services LLC. In 2025 he joined TLG Advisors, Inc. as an Investment Advisor Representative.



## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Roy has no such issues on his record.

#### **Item 4 - Other Business Activities**

Mr. Roy is the owner of Heritage Tax & Consulting Services LLC where he offers tax and payroll preparation services.

#### **Item 5 - Additional Compensation**

Mr. Roy provides investment-planning advice to his customers on a fee basis. In addition, he may receive compensation from the activities detailed in Item 4 above.

#### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-500-8943, or <a href="mailto:compliance@tlgadvisors.net">compliance@tlgadvisors.net</a>.