

## Item 1 - Cover Page

### **Daniel R. Engel**

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This brochure supplement provides information about Daniel R. Engel that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel R. Engel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Engel was born in 1962. He received his BA Economics from UCLA, MBA from Haas School of Business, and JD from UC Berkeley Law. He was Chief Operating Office at The Holdsworth Group, LLC (Aug 2019-Jan 2022); COO/Chief Compliance Officer at THG Securities Advisors (June 2020 - Jan 2022); Sr. VP of Strategic Planning and Corporate Development with Applied Underwriters, Inc. (Nov 2002 - Dec



2006); Managing Director at Gateway Partners, Inc. (Nov 1999-Oct 2002); Principal with Onyx Partners, Inc. (Oct 1994 - Oct 1999); Sr. VP at Goodtab Corporation (Oct 1990-Oct 1994); Associate with Salomon Brothers Inc (Sept 1987 - Sept 1990). In 2024 he joined The Leaders Group, Inc. as a Registered Representative and in 2025 joined TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Engel has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Engel sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also works as Managing Director at JAG Legacy and Insurance Solutions.

### **Item 5 - Additional Compensation**

Mr. Engel provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).