## **FORM ADV**

## UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: TLG ADVISORS, INC. CRD Number: 111052 **Annual Amendment - Item 1 Identifying Information** Rev. 10/2021

3/30/2025 4:34:31 PM

W	ARNING: Complete this form truthfully. False periodic amendments. See Form AE	•	esult in denial of your application, revocation of your registratic	n, or criminal prosecution. You must keep this form updated by filing
Ite	m 1 Identifying Information			
	sponses to this Item tell us who you are, when y. General Instruction 5 provides information t	•	, , , , , , , , , , , , , , , , , , ,	n, the information in Item 1 should be provided for the <i>filing adviser</i>
A.	Your full legal name (if you are a sole propri TLG ADVISORS, INC.	etor, your last, first, and middle	names):	
В.	(1) Name under which you primarily conduct TLG ADVISORS, INC.	t your advisory business, if diffe	erent from Item 1.A.	
	List on Section 1.B. of Schedule D any addit	ional names under which you co	onduct your advisory business.	
	(2) If you are using this Form ADV to registe	er more than one investment ad	viser under an $\emph{umbrella registration}$ , check this box $\Box$	
	If you check this box, complete a Schedule	२ for each relying adviser.		
C.	If this filing is reporting a change in your leg $\square$ your legal name or $\square$ your primary busing		business name (Item 1.B.(1)), enter the new name and specifically $(A_{ij}, A_{ij}, A_{ij})$	y whether the name change is of
D.	(1) If you are registered with the SEC as an	investment adviser, your SEC fil	le number: <b>801-60458</b>	
	(2) If you report to the SEC as an exempt re	eporting adviser, your SEC file n	umber:	
		ey numbers assigned by the SEG	C ("CIK Numbers"), all of your CIK numbers:	
	CIK Number			
	1856219			
E.	(1) If you have a number ("CRD Number") a	assigned by the FINRA's CRD sys	stem or by the IARD system, your <i>CRD</i> number: <b>111052</b>	
	If your firm does not have a CRD number, s	kip this Item 1.E. Do not provide	e the CRD number of one of your officers, employees, or affiliat	res.
	(2) If you have additional <i>CRD</i> Numbers, yo	ur additional <i>CRD</i> numbers:		
			No Information Filed	
F.	Principal Office and Place of Business			
	<ul><li>(1) Address (do not use a P.O. Box):</li><li>Number and Street 1:</li><li>475 SPRINGFIELD AVE, SUITE 1</li></ul>		Number and Street 2:	
	City:	State:	Country:	ZIP+4/Postal Code:
	SUMMIT	New Jersey	United States	07901
	If this address is a private residence, ch	eck this box: $\square$		

List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

		conduct business at your <i>principal office and</i>	d place of business:		
	Monday - Friday				
	Normal business hours at this location 8:00 A.M. TO 4:00P.M.	cation:			
	(3) Telephone number at this location	on:			
	888-371-0013				
	(4) Facsimile number at this location 303-797-7297	n, if any:			
	(5) What is the total number of office 107	ces, other than your <i>principal office and plac</i>	e of business, at which you conduct invo	estment advisory business as of the end of your most recer	ntly completed fiscal year?
ì.	Mailing address, if different from you	or principal office and place of business addre	ess:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	If this address is a private residence	e, check this box: $\square$			
١.	If you are a sole proprietor, state you	ur full residence address, if different from yo	our principal office and place of business	address in Item 1.F.:	
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	Do you have one or more websites or	r accounts on publicly available social media	platforms (including, but not limited to	, Twitter, Facebook and LinkedIn)?	Yes No
	.,		<b>3,</b>	,,	• 0
	, .		• •	platforms on Section 1.I. of Schedule D. If a website addre Il of the other information. You may need to list more than	
	-	accounts on publicly available social media nts on publicly available social media platfori		content. Do not provide the individual electronic mail (e-m	ail) addresses of employees
	Chief Compliance Officer				
		formation of your Chief Compliance Officer. I	f you are an exempt reporting adviser.	you must provide the contact information for your Chief Co	ompliance Officer, if you have
	one. If not, you must complete Item		, , , , , , , , , , , , , , , , , , , ,	,	,
	Name:		Other titles, if any	:	
	Z. JANE RILEY		CCO		
	Telephone number: 303-797-9080 EXT 1010		Facsimile number, (303) 797-7297	if any:	
	Number and Street 1: 475 SPRINGFIELD AVE, SUITE 1		Number and Stree	t 2:	
	City:	State:	Country:	ZIP+4/Postal Code:	
	SUMMIT	New Jersey	United States	07901	
	Electronic mail (e-mail) address, if C	Chief Compliance Officer has one:			
	JANE.RILEY@LEADERSGROUP.NET				

		mpensated or employed by any <i>person</i> other than cer services to you, provide the <i>person's</i> name an		ent company registered under the Investment Company Act of 1940 ther (if any):	at you
	Name: THE LEADERS GROUP, INC.				
	IRS Employer Identification Number: 84-1275292				
K.	Additional Regulatory Contact Person: If a here.	a person other than the Chief Compliance Officer i	is authorized to receive information ar	nd respond to questions about this Form ADV, you may provide that in	ormation
	Name:		Titles:		
	SEAN WICKERSHAM		PRESIDENT		
	Telephone number: 303-797-9080 EXT 1030		Facsimile number, if any:		
	Number and Street 1: 475 SPRINGFIELD AVE, SUITE 1		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	SUMMIT	New Jersey	United States	07901	
	Electronic mail (e-mail) address, if contact SEAN.WICKERSHAM@LEADERSGROUP.NE				
					Yes No
L.	Do you maintain some or all of the books business?	and records you are required to keep under Secti	ion 204 of the Advisers Act, or similar	r state law, somewhere other than your principal office and place of	0 0
	If "yes," complete Section 1.L. of Schedule	'e D.			Yes No
М.	Are you registered with a foreign financial	l regulatory authority?			0 0
	Answer "no" if you are not registered with Schedule D.	। a foreign financial regulatory authority, even if y	you have an affiliate that is registered	d with a foreign financial regulatory authority. If "yes," complete Section	า 1.M. of
					Yes No
N.	Are you a public reporting company under	r Sections 12 or 15(d) of the Securities Exchange	Act of 1934?		0 0
					Yes No
0.		on the last day of your most recent fiscal year?			• 0
	If yes, what is the approximate amount of \$1 billion to less than \$10 billion	r your assets:			
	#10 hillion to loss than #50 hillion				
	c \$50 billion or more				
	C tas amon as more				
	For purposes of Item 1.0. only, "assets" reyour most recent fiscal year end.	refers to your total assets, rather than the assets	you manage on behalf of clients. Dete	termine your total assets using the total assets shown on the balance s	heet for
P.	Provide your <i>Legal Entity Identifier</i> if you	have one:			
	A <i>legal entity identifier</i> is a unique numbe	er that companies use to identify each other in the	e financial marketplace. You may not l	have a legal entity identifier.	

SECTION 1.B. Other Business Names					
List your other business names and the	pjurisdictions in which you use them. You mu	st complete a separate Schedule D Section 1.B. for ea	ich business name.		
Name: STARLIGHT PORTFOLIOS					
Jurisdictions					
□ AL	□IL	□ NE	□ sc		
□ AK	□ IN	□ NV	□ SD		
∏ AZ	□ IA	□NH	□ TN		
☐ AR	□ KS	l □ NJ	□TX		
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<b>☑</b> co	□ LA	□ NY	□VT		
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∏ FL	□ MI	□ок	□ wv		
□GA	☐ MN	□or	□ wɪ		
□ GU	□ MS	□ PA	□ wy		
∥ □ ні	□ мо	□PR	☐ Other:		
∏ ID	☐ MT	□RI			
		II.			
List your other business names and the	giurisdictions in which you use them. You mu	st complete a separate Schedule D Section 1.B. for ea	ch business name.		
Name: MACK FINANCIAL GROUP, INC					
Jurisdictions					
□aL	<b>⊠</b> IL	□ NE	□ sc		
∏ AK	<b>₽</b> IN	□ NV	□ SD		
∏ AZ	□ IA	□NH	<b>☑</b> TN		
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∥ ⊑ ст	□ ME	<b>☑</b> NC	□VI		
□ DE	<b>⊠</b> MD	□ND	□va		
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	□мт	□RI	Rend Collection		
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List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.					
List your other business names and the jurisdictions	in which you use them. You must complete a separate	e Schedule D Section 1.B. for each business name.			
Name: CANTILEVER WEALTH MANAGEMENT LLC					
Jurisdictions					
□ AL	<b>☑</b> IL	□ NE	□ sc		
□AK	□IN	□NV	□sD		
□ AZ	□IA	□NH	□TN		
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□GA	□MN	□or	□wı		
□GU	□MS	<b>☑</b> PA	□ wy		
□ні	□мо	□PR	☐ Other:		
□ID	□ MT	□RI			
List your other business names and the jurisdictions	in which you use them. You must complete a separate	e Schedule D Section 1.B. for each business name.			
Name: ASSET MANAGEMENT GROUP					
Jurisdictions					
□ AL	□IL	□ NE	□ sc		
∏ AK	□ IN	□NV	□SD		
□ AZ	□ IA	□NH	□TN		
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□ CA	□ KY	□NM	□ UT		
□со	□LA	□NY	□VT		
<b>☑</b> CT	□ ME	□ NC	□VI		
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List your other business names and the jurisdictions	in which you use them. You must complete a separate	e Schedule D Section 1.B. for each business name.	
Name: GARLIKOV ADVISORS INC			
Jurisdictions			
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List your other business names and the jurisdictions	in which you use them. You must complete a separate	e Schedule D Section 1.B. for each business name.	
Name: RAABE & ASSOCIATES			
Jurisdictions			
□ AL	□IL	□ NE	□sc
□ AK	□IN	□ NV	□ SD
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List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

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List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

Name: HN WEALTH MANAGEMENT			
Jurisdictions			
□aL		□ NE	□ sc
□ak	□IN	□NV	□sD
□az	□IA	□NH	□TN
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List your other business names and the jurisdiction	s in which you use them. You must complete a separat	e Schedule D Section 1.B. for each business name.	
Name: STRATEGIC PLANNING GROUP PLLC			
Jurisdictions			
□ AL	□IL	□ NE	□ sc
☐ AK	□IN	□ NV	□ SD
□ AZ	□ IA	□NH	□TN
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	_	JL	
List your other business names and the jurisdictions	s in which you use them. You must complete a separat	e Schedule D Section 1.B. for each business name.	
	,		

Name: SENIOR FINANCIAL PLANNING LLC

Jurisdictions			
□aL	□IL	□ NE	□ sc
∏ AK	□ IN	□ NV	□ SD
□ AZ	□IA	□ NH	□ TN
□ AR	□ KS	□ NJ	□ TX
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Name: RESOURCE INSURANCE & F			
□ AL	<b>☑</b> IL	□ NE	□ sc
□ AK	□ IN	□ NV	□ SD
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	JL	JI.	
List your other business names and	d the jurisdictions in which you use them. You mu	st complete a separate Schedule D Section 1.B. for each	business name.
Name: CLARITY FINANCIAL			
Jurisdictions			

□ AL □ AK □ AZ	∏ IL	<b>☑</b> NE		
□ AZ	□ IN	□ NV	□ SC □ SD	
	∏ IA	□ NH	□ TN	
AR	□ KS	□ NJ	□ TX	
CA	□ KY	□ NM	□ UT	
CO	□ LA	□ NY	□ VT	
СТ	∏ ME	□ NY	□ VI	
DE	□ MD	□ ND	□ VI □ VA	
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GA	□ MN	□ OR	□ wi	
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ame: PACIFIC CAPITAL STRATEGIES,				
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SECTION 1.F. Other Offices					
-				ment advisory business. You must complete a separate Schedu ing adviser, list only the largest twenty-five offices (in terms of	
Number and Street 1: 10108 KRAUSE ROAD, SUITE 201			Number and Street 2:		
City:		State:	Country:	ZIP+4/Postal Code:	
CHESTERFIELD		Virginia	United States	23832	
If this address is a private residence	ee, check this box:				
Telephone Number: 8047680541		Facsimile Number,	if any:		
If this office location is also require (Form BR), please provide the <i>CRL</i> 698802	_	state securities authority	as a branch office location for a broke	r-dealer or investment adviser on the Uniform Branch Office Re	gistration Form
How many <i>employees</i> perform inve	estment advisory functions from th	s office location?			
Are other business activities condu	cted at this office location? (check	all that apply)			
🗹 (1) Broker-dealer (registered or					
$\square$ (2) Bank (including a separately	identifiable department or division	of a bank)			
(3) Insurance broker or agent					
(4) Commodity pool operator or		er registered or exempt fr	om registration)		
(5) Registered municipal advisor					
$\square$ (6) Accountant or accounting fir	m				
(7) Lawyer or law firm					
Describe any other investment-rela	ated business activities conducted f	rom this office location:			

	· ·	· · · · · · · · · · · · · · · · · · ·	evestment advisory business. You must complete a separate Schedule D Section porting adviser, list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 6330 N CENTER DRIVE, SUITE 140		Number and Street 2	2:		
City: NORFOLK	State: Virginia	Country: United States	ZIP+4/Postal Code: 23502		
If this address is a private residence, check this box: $\Box$					
Telephone Number: (757) 366-0366	Facsimile Number, i	if any:			
If this office location is also required to be registered with FINRA or a <i>stat</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	re securities authority as	a branch office location for a bro	roker-dealer or investment adviser on the Uniform Branch Office Registration Forn		
How many <i>employees</i> perform investment advisory functions from this of 2	How many <i>employees</i> perform investment advisory functions from this office location?				
Are other business activities conducted at this office location? (check all the second of the second					
☑ (3) Insurance broker or agent ☐ (4) Commodity pool operator or commodity trading advisor (whether re	egistered or exempt fron	n registration)			
(5) Registered municipal advisor (6) Accountant or accounting firm					
□ (7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted from	this office location:				
	· ·	· · · · · · · · · · · · · · · · · · ·	ovestment advisory business. You must complete a separate Schedule D Section porting adviser, list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 2001 BOMAR STREET		Number and Street 2:			
City: MARSHALL	State: Texas	Country: United States	ZIP+4/Postal Code: 75670		
If this address is a private residence, check this box: $\Box$					
Telephone Number: (484) 794-0574	Facsimile Number, if ar	ny:			

If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:					
How many <i>employees</i> perform investment advisory functions from this office lo	cation?				
Are other business activities conducted at this office location? (check all that ap	oply)				
☑ (1) Broker-dealer (registered or unregistered)					
$\square$ (2) Bank (including a separately identifiable department or division of a bank	()				
☑ (3) Insurance broker or agent					
$\square$ (4) Commodity pool operator or commodity trading advisor (whether registe	red or exempt from registra	tion)			
$\square$ (5) Registered municipal advisor					
$\square$ (6) Accountant or accounting firm					
$\square$ (7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted from this conducted from the conducted	office location:				
Complete the following information for each office, other than your <i>principal off</i> 1.F. for each location. If you are applying for SEC registration, if you are registe <i>employees</i> ).			·		
Number and Street 1: 1520 CARLEMONT DRIVE, SUITE J		Number and Street 2:			
City: CRYSTAL LAKE	State: Illinois	Country: United States	ZIP+4/Postal Code: 60014		
If this address is a private residence, check this box: $\ \square$					
Telephone Number: (260) 312-4800	Facsimile Number, if any:				
If this office location is also required to be registered with FINRA or a <i>state sect</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	urities authority as a branch	office location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form		
How many <i>employees</i> perform investment advisory functions from this office lo	cation?				
Are other business activities conducted at this office location? (check all that ap (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bank (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether register (5) Registered municipal advisor  (6) Accountant or accounting firm	<b>(</b> )	tion)			

$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conduct	ted from this office location:		
· · · · · · · · · · · · · · · · · · ·		•	advisory business. You must complete a separate Schedule D Section viser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 1210 JACKSBORO PIKE		Number and Street 2:	
City: LAFOLLETTE	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37766
If this address is a private residence, check this box: $\Box$			
Telephone Number: (423) 562-3346	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	or a <i>state securities authority</i> as a bran	nch office location for a broker-deals	er or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	m this office location?		
Are other business activities conducted at this office location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unregistered)			
(2) Bank (including a separately identifiable department or divi	ision of a bank)		
(3) Insurance broker or agent	h-kh	tt:\	
$\square$ (4) Commodity pool operator or commodity trading advisor (w $\square$ (5) Registered municipal advisor	nether registered of exempt from regis	u audii)	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conduct	ted from this office location:		
		•	advisory business. You must complete a separate Schedule D Section viser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 518 W PALMETTO STREET		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
FLORENCE	South Dakota	United States	29501

If this address is a private residence, check this box: $\Box$			
Telephone Number: (843) 229-0667	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA o (Form BR), please provide the <i>CRD</i> Branch Number here:	r a state securities authority	as a branch office location for a	a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	this office location?		
Are other business activities conducted at this office location? (che	eck all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or divis	sion of a bank)		
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity trading advisor (who	ether registered or exempt fr	rom registration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
☐ (7) Lawyer or law firm			
Describe any other investment-related business activities conducted	ed from this office location:		
			t investment advisory business. You must complete a separate Schedule D Section t reporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 156 S SAGE HOLLOW		Number and Street 2:	
City: DRIPPING SPRINGS	State: Texas	Country: United States	ZIP+4/Postal Code: 78620
If this address is a private residence, check this box: $\Box$			
Telephone Number: (512) 538-6271	Facsimile Number, if	any:	
If this office location is also required to be registered with FINRA o (Form BR), please provide the <i>CRD</i> Branch Number here:	r a state securities authority	as a branch office location for a	a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	this office location?		
Are other business activities conducted at this office location? (che	eck all that apply)		
☑ (1) Broker-dealer (registered or unregistered)	//		
$\square$ (2) Bank (including a separately identifiable department or divis	sion of a bank)		

☑ (3) Insurance broker or agent						
(4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)						
$\square$ (5) Registered municipal advisor						
$\square$ (6) Accountant or accounting firm						
$\square$ (7) Lawyer or law firm						
Describe any other investment-related business activities conducted from	n this office location:					
Complete the following information for each office, other than your <i>princi</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).		•	· · · · · · · · · · · · · · · · · · ·			
Number and Street 1: 110 CHRISTIANA MEDICAL CENTER		Number and Street 2:				
City:	State:	Country:	ZIP+4/Postal Code:			
NEWARK	Delaware	United States	19702			
If this address is a private residence, check this box: $\Box$						
Telephone Number:	Facsimile Number, if any:					
(302) 286-0777						
If this office location is also required to be registered with FINRA or a <i>sta</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	te securities authority as a branch	office location for a broker-dea	aler or investment adviser on the Uniform Branch Office Registration For	m		
How many <i>employees</i> perform investment advisory functions from this of 1	ffice location?					
Are other business activities conducted at this office location? (check all t	that apply)					
☑ (1) Broker-dealer (registered or unregistered)						
$\square$ (2) Bank (including a separately identifiable department or division of	a bank)					
☐ (3) Insurance broker or agent ☐ (3) Insurance broker or agent						
$\square$ (4) Commodity pool operator or commodity trading advisor (whether r $\square$ (5) Registered municipal advisor	registered or exempt from registrat	ion)				
(6) Accountant or accounting firm						
(7) Lawyer or law firm						
Describe any other <i>investment-related</i> business activities conducted from	n this office location:					
Complete the following information for each office, other than your <i>princi</i>		·				

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 218 HILLSIDE DRIVE		Number and Street 2:		
City:	State:	Country: United States	ZIP+4/Postal Code:	
WALESKA	Georgia	United States	30183	
If this address is a private residence, check this box	x: <b>☑</b>			
Telephone Number: (678) 923-3828	Facsimile Number, if	any:		
If this office location is also required to be registere (Form BR), please provide the <i>CRD</i> Branch Number	•	arphi as a branch office location for a brok	ker-dealer or investment adviser on the Uniform Branch Office Regis	stration Form
How many <i>employees</i> perform investment advisory 1	functions from this office location?			
Are other business activities conducted at this office  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable depart  (3) Insurance broker or agent  (4) Commodity pool operator or commodity tradi  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm  Describe any other investment-related business act	artment or division of a bank) ing advisor (whether registered or exempt i	from registration)		
			estment advisory business. You must complete a separate Schedule rting adviser, list only the largest twenty-five offices (in terms of nu	
Number and Street 1: 2935 PINE LAKE ROAD, SUITE I		Number and Street 2:		
City: LINCOLN	State: Nebraska	Country: United States	ZIP+4/Postal Code: 68516	
If this address is a private residence, check this box	<b>«</b> : □			
Telephone Number: (402) 430-0841	Facsimile Number, if a	ny:		
If this office location is also required to be registere (Form BR), please provide the <i>CRD</i> Branch Number	· · · · · · · · · · · · · · · · · · ·	$ ilde{\prime}$ as a branch office location for a brok	ker-dealer or investment adviser on the Uniform Branch Office Regis	stration Form
How many <i>employees</i> perform investment advisory 2	functions from this office location?			

Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:							
			ct investment advisory business. You must complete a separate Schedule D Section treporting adviser, list only the largest twenty-five offices (in terms of numbers of				
Number and Street 1: 7791 BELFORT PARKWAY		Number and Street 2:					
City: JACKSONVILLE	State: Florida	Country: United States	ZIP+4/Postal Code: 32256				
If this address is a private residence, check this box: $\Box$							
Telephone Number: (904) 296-4100 129	Facsimile Number, if an	ny:					
If this office location is also required to be registered with FINRA or a st. (Form BR), please provide the <i>CRD</i> Branch Number here: 219029	ate securities authority as	s a branch office location for a	a broker-dealer or investment adviser on the Uniform Branch Office Registration Form				
How many <i>employees</i> perform investment advisory functions from this of 3	office location?						
Are other business activities conducted at this office location? (check all  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of  (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm	f a bank)	n registration)					
Describe any other investment-related business activities conducted from	m this office location:						

Complete the following information for each office, other than your problem. I.F. for each location. If you are applying for SEC registration, if you are employees).			·
Number and Street 1: 220 2ND AVENUE S		Number and Street 2:	
City: FRANKLIN	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37064
If this address is a private residence, check this box: $\Box$			
Telephone Number: (615) 628-3290	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a branc	ch office location for a broker-dealer or inves	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th 1	is office location?		
Are other business activities conducted at this office location? (check  ☑ (1) Broker-dealer (registered or unregistered) ☐ (2) Bank (including a separately identifiable department or division			
<ul><li>✓ (3) Insurance broker or agent</li><li>✓ (4) Commodity pool operator or commodity trading advisor (wheth</li></ul>	ner registered or exempt from registr	ration)	
☐ (5) Registered municipal advisor	, ,	,	
(6) Accountant or accounting firm			
☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	from this office location:		
Complete the following information for each office, other than your pr 1.F. for each location. If you are applying for SEC registration, if you are employees).			
Number and Street 1: 400 HWY 169 S, SUITE 200		Number and Street 2:	
City: ST LOUIS PARK	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55426
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (916) 679-0281	Facsimile Number, if any:		

If this office location is also required to be registered with FINRA o (Form BR), please provide the <i>CRD</i> Branch Number here:	or a <i>state securities authority</i> as a branch of	fice location for a broker-dealer or investn	nent adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	this office location?		
Are other business activities conducted at this office location? (che (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or divis (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whe (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	sion of a bank)	n)	
Describe any other <i>investment-related</i> business activities conducted	ed from this office location:		
Complete the following information for each office, other than you 1.F. for each location. If you are applying for SEC registration, if you employees).			
Number and Street 1: 406 GEORGETOWN COURT		Number and Street 2:	
City: WEXFORD	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 15090
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (412) 452-4105	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA of (Form BR), please provide the <i>CRD</i> Branch Number here:	or a <i>state securities authority</i> as a branch of	fice location for a broker-dealer or investn	nent adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	this office location?		
Are other business activities conducted at this office location? (che   (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or divis   (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (where   (5) Registered municipal advisor (6) Accountant or accounting firm	sion of a bank)	n)	

$\square$ (7) Lawyer or law firm			
Describe any other investment-related business activities condu	cted from this office location:		
		· · · · · · · · · · · · · · · · · · ·	ment advisory business. You must complete a separate Schedule D Section <i>ng adviser</i> , list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 5109 WATSON RD		Number and Street 2:	
City: ERIE	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 16505
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: 814-764-1049	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	A or a state securities authority	as a branch office location for a broker	r-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions fro 1	om this office location?		
Are other business activities conducted at this office location? (c	heck all that apply)		
(1) Broker-dealer (registered or unregistered)			
☐ (2) Bank (including a separately identifiable department or di ☐ (3) Insurance broker or agent	vision of a bank)		
(4) Commodity pool operator or commodity trading advisor (v	whether registered or exempt for	rom registration)	
☐ (5) Registered municipal advisor		• ,	
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other investment-related business activities condu	cted from this office location:		
		· · · · · · · · · · · · · · · · · · ·	ment advisory business. You must complete a separate Schedule D Section ing adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 5105 W GENESEE ST		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CAMILLUS	New York	United States	13031

If this address is a private residence, check this box: $\Box$			
Telephone Number: 315-488-09014	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here: 649021	state securities authority as a b	ranch office location for a broke	r-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from thi 1	s office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from re	gistration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	rom this office location:		
			ment advisory business. You must complete a separate Schedule D Section ing adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 2300 EMERALD COURT		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CASTLE ROCK	Colorado	United States	80104
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: 3039798384	Facsimile Number, if any: 3039791074		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here: 276630	state securities authority as a b	ranch office location for a broke	r-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from thi 2	s office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			

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$\square$ (2) Bank (including a separately identifiable department or div	vision of a bank)			
☑ (3) Insurance broker or agent				
$\square$ (4) Commodity pool operator or commodity trading advisor (w	hether registered or exempt from regist	ration)		
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activities conduc	ted from this office location:			
Complete the following information for each office, other than yo 1.F. for each location. If you are applying for SEC registration, if <i>employees</i> ).				
Number and Street 1: 9311 SE 36TH ST		Number and Street 2: SUITE 105		
City:	State:	Country:	ZIP+4/Postal Code:	
MERCER ISLAND	Washington	United States	98040	
If this address is a private residence, check this box: $\Box$				
Telephone Number:	Facsimile Number, if any:			
(206) 235-0201	(206) 232-0715			
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here: 279870	or a state securities authority as a brand	ch office location for a broker-dealer	or investment adviser on the Uniform Branch Of	fice Registration Form
How many <i>employees</i> perform investment advisory functions from	m this office location?			
1				
Are other hypiness activities conducted at this office leastion? (charles	and all that apply)			
Are other business activities conducted at this office location? (cf  (1) Broker-dealer (registered or unregistered)	еск ан тнас арргу)			
(1) Bloker-dealer (registered of diffegistered)  (2) Bank (including a separately identifiable department or div	vision of a hank)			
(2) Bank (including a separately identifiable department of div	ision of a bank)			
(4) Commodity pool operator or commodity trading advisor (w	whother registered or exampt from regist	ration)		
(4) Commonly poor operator or commonly trading advisor (w	mether registered or exempt from registi	radony		
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
(7) Lawyer of law fiffi				
Describe any other investment-related business activities conduc	ted from this office location:			
Complete the following information for each office, other than yo	ur principal office and place of business,	at which you conduct investment ad	visory business. You must complete a separate s	Schedule D Section

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 6900 WISCONSIN AVENUE, 5TH FLOOR		Number and Street 2:					
City: CHEVY CHASE	State: Maryland	Country: United States	ZIP+4/Postal Code: 20815				
If this address is a private residence, check this box: $\ \Box$							
Telephone Number: (301) 652-2500	Facsimile Number, if any:						
If this office location is also required to be registered with FINRA or a <i>state</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	re securities authority as a branch	office location for a broker-deal	er or investment adviser on the Uniform Branch Office Registration Form				
How many <i>employees</i> perform investment advisory functions from this of 1	fice location?						
Are other business activities conducted at this office location? (check all the	hat apply)						
☑ (1) Broker-dealer (registered or unregistered)							
$\square$ (2) Bank (including a separately identifiable department or division of a	a bank)						
☑ (3) Insurance broker or agent							
$\square$ (4) Commodity pool operator or commodity trading advisor (whether re	egistered or exempt from registra	tion)					
$\square$ (5) Registered municipal advisor							
$\square$ (6) Accountant or accounting firm							
$\square$ (7) Lawyer or law firm							
Describe any other <i>investment-related</i> business activities conducted from	this office location:						
	1 CC: 1 1 C 1 :						
Complete the following information for each office, other than your <i>princip</i> 1.F. for each location. If you are applying for SEC registration, if you are remployees).		•					
Number and Street 1: 3625 DEL AMO BLVD, SUITE 330		Number and Street 2:					
City:	State:	Country:	ZIP+4/Postal Code:				
TORRANCE	California	United States	90503				
If this address is a private residence, check this box: $\ \square$							
Telephone Number: F (310) 698-0698	acsimile Number, if any:						
If this office location is also required to be registered with FINRA or a <i>state</i> (Form BR), please provide the <i>CRD</i> Branch Number here: 534179	re securities authority as a branch	office location for a broker-deal	er or investment adviser on the Uniform Branch Office Registration Form				

How many <i>employees</i> perform investment advisory functions from the 1	s office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from re	gistration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	rom this office location:		
Complete the following information for each office, other than your production. If you are applying for SEC registration, if you are applying for SEC registration, if you are applying for SEC registration.			
Number and Street 1: 45 RESEARCH DRIVE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ANN ARBOR	Michigan	United States	48103
If this address is a private residence, check this box: $\Box$			
Telephone Number:	Facsimile Number, if any:		
(734) 786-6140			
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a b	ranch office location for a broker-dealer or inv	estment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from the 1	s office location?		
Are other business activities conducted at this office location? (check	all that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from re	gistration)	
☐ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	rom this office location:		

Complete the following information for each office, other than your parts. F. for each location. If you are applying for SEC registration, if you employees).		•	•
Number and Street 1: 9208 FALLS OF NEUSE ROAD, SUITE 115		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
RALEIGH	North Carolina	United States	27615
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (919) 633-3210	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a bran	ich office location for a broker-dealer or in	vestment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th 2	is office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	n of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt from regis	tration)	
$\square$ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other investment-related business activities conducted to	from this office location:		
Complete the following information for each office, other than your $p_1$ 1.F. for each location. If you are applying for SEC registration, if you employees).			
Number and Street 1: 5901 W CENTURY BLVD, #750		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
LOS ANGELES	California	United States	90045
If this address is a private residence, check this box: $\Box$			
Telephone Number:	Facsimile Number, if any:		
(626) 676-4232			

If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a brand	ch office location for a broker-dealer or inves	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th	is office location?		
Are other business activities conducted at this office location? (check   (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division   (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (wheth   (5) Registered municipal advisor (6) Accountant or accounting firm   (7) Lawyer or law firm	n of a bank)	ration)	
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
Complete the following information for each office, other than your $p$ 1.F. for each location. If you are applying for SEC registration, if you employees).			
Number and Street 1: 109 DONELSON PIKE		Number and Street 2:	
City: NASHVILLE	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37214
If this address is a private residence, check this box: $\Box$			
Telephone Number: (615) 871-4767	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a brand	ch office location for a broker-dealer or inves	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th	is office location?		
Are other business activities conducted at this office location? (check   (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division   (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (wheth   (5) Registered municipal advisor	n of a bank)	ration)	

(7) Lawyer or law firm					
Describe any other investment-related business activities conducted from this office location:					
'		•	nent advisory business. You must complete a separate Schedule D Section <i>g adviser</i> , list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 175 DERBY STREET, SUITE 33		Number and Street 2	:		
City: HINGHAM	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 02043		
If this address is a private residence, check this box: $\Box$					
Telephone Number: (512) 297-7815	Facsimile Number, if any:				
If this office location is also required to be registered with FINR (Form BR), please provide the <i>CRD</i> Branch Number here:	AA or a state securities authority a	as a branch office location for a broker-	dealer or investment adviser on the Uniform Branch Office Registration Form		
How many <i>employees</i> perform investment advisory functions find 1	rom this office location?				
Are other business activities conducted at this office location? (	check all that apply)				
🗹 (1) Broker-dealer (registered or unregistered)					
$\square$ (2) Bank (including a separately identifiable department or $\alpha$	division of a bank)				
☑ (3) Insurance broker or agent					
$\square$ (4) Commodity pool operator or commodity trading advisor	(whether registered or exempt fro	om registration)			
(5) Registered municipal advisor					
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted from this office location:					
-			nent advisory business. You must complete a separate Schedule D Section of adviser, list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 262 HALF HOLLOW ROAD		Number and Street 2:			
City:	State:	Country:	ZIP+4/Postal Code:		
DIX HILLS	New York	United States	11746		

If this address is a private residence, check this box: $\Box$			
Telephone Number: (516) 695-4662	Facsimile Number, if any	<i>r</i> :	
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority a	as a branch office location for a	broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from the 1	is office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt fro	om registration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
			investment advisory business. You must complete a separate Schedule D Section reporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 2850 GOLF ROAD		Number and Street 2:	
City: ROLLING MEADOWS	State: Illinois	Country: United States	ZIP+4/Postal Code: 60008
If this address is a private residence, check this box: $\Box$			
Telephone Number: (312) 982-7433	Facsimile Number, if a	any:	
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority a	as a branch office location for a	broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th 2	is office location?		
Are other husiness activities conducted at this office legation? (shock	all that apply)		
Are other business activities conducted at this office location? (check	ан итат арргу)		
$\boxed{(1)}$ Broker-dealer (registered or unregistered) $\boxed{(2)}$ Bank (including a separately identifiable department or division	of a hank)		
L (2) Dank (including a Separately Identifiable department or division	i Ui a Dalik)		

☑ (3) Insurance broker or agent					
$\Box$ (4) Commodity pool operator or commodity trading advisor (whe	ther registered or exempt from re	egistration)			
☐ (5) Registered municipal advisor		-9,			
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
(7) Lawyer or law lillin					
Describe any other <i>investment-related</i> business activities conducted	d from this office location:				
, , , , , , , , , , , , , , , , , , ,		· · ·	vestment advisory business. You must complete a separate Schedule D Section porting adviser, list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 1700 LEILA DRIVE, SUITE 110		Number and Street 2:			
City:	State:	Country:	ZIP+4/Postal Code:		
JACKSON	Mississippi	United States	39216		
If this address is a private residence, check this box: $\Box$					
Telephone Number: (601) 982-1117	Facsimile Number, if any:				
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here: 300062	a state securities authority as a	branch office location for a brok	oker-dealer or investment adviser on the Uniform Branch Office Registration F	<sup>-</sup> orm	
How many <i>employees</i> perform investment advisory functions from t	this office location?				
Are other business activities conducted at this office location? (chec	k all that apply)				
✓ (1) Broker-dealer (registered or unregistered)	m an ende appry)				
(2) Bank (including a separately identifiable department or division	on of a bank)				
☑ (3) Insurance broker or agent	on or a samy				
$\Box$ (4) Commodity pool operator or commodity trading advisor (whe	ther registered or exempt from re	egistration)			
(5) Registered municipal advisor					
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted from this office location:					
Complete the following information for each office, other than your	principal office and place of busin	ness, at which you conduct inve	restment advisory business. You must complete a separate Schedule D Section	n	

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 350 HIGHWAY 7 #241		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
EXCELSIOR	Minnesota	United States	55331	
If this address is a private residence, check this box: $\Box$				
Telephone Number:	Facsimile Number, if any:			
(763) 231-7316				
If this office location is also required to be registered with FINRA or a	state securities authority as a brand	ch office location for a broker-dealer or inves	stment adviser on the Uniform Branch Office Registration Form	
(Form BR), please provide the <i>CRD</i> Branch Number here:				
How many employees perform investment advisory functions from the	is office location?			
1				
Are other business activities conducted at this office location? (check	all that apply)			
🗹 (1) Broker-dealer (registered or unregistered)				
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)			
☑ (3) Insurance broker or agent				
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from regist	ration)		
☐ (5) Registered municipal advisor				
☐ (6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activities conducted f	from this office location:			
Section and content with the section of the section				
Complete the following information for each office, other than your pr	rincipal office and place of business,	at which you conduct investment advisory b	ousiness. You must complete a separate Schedule D Section	
1.F. for each location. If you are applying for SEC registration, if you a	are registered only with the SEC, or	if you are an exempt reporting adviser, list	only the largest twenty-five offices (in terms of numbers of	
employees).				
Number and Street 1:		Number and Street 2:		
5251 W 116TH PLACE, SUITE 200				
City:	State:	Country:	ZIP+4/Postal Code:	
LEAWOOD	Kansas	United States	66211	
If this address is a private residence, check this box: $lacktriangle$				
Telephone Number:	Facsimile Number, if any:			
(913) 593-1917				
If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:				
(ראם האוווים לאם), please provide the כאם pranch Number here:				
Non-control of the second of t	in affina lanaking?			
How many <i>employees</i> perform investment advisory functions from the	is office location?			

Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Describe any other investment-related busines	s activities conducted from this office location.					
		· · · · · · · · · · · · · · · · · · ·	estment advisory business. You must complete a separa orting adviser, list only the largest twenty-five offices (i			
Number and Street 1: 3330 GOAT FELL		Number and Street 2:				
City: ANN ARBOR	State: Michigan	Country: United States	ZIP+4/Postal Code: 48108			
If this address is a private residence, check thi	s box: □					
Telephone Number: (734) 277-6676	Facsimile Number, if a	ny:				
If this office location is also required to be regi (Form BR), please provide the <i>CRD</i> Branch Nur		$\gamma$ as a branch office location for a bro	oker-dealer or investment adviser on the Uniform Branc	h Office Registration Form		
How many <i>employees</i> perform investment adv	isory functions from this office location?					
Are other business activities conducted at this	office location? (check all that apply)					
(1) Broker-dealer (registered or unregistered						
□ (2) Bank (including a separately identifiable department or division of a bank)  ☑ (3) Insurance broker or agent						
	trading advisor (whether registered or exempt 1	from registration)				
$\square$ (5) Registered municipal advisor						
(6) Accountant or accounting firm						
(7) Lawyer or law firm						
Describe any other investment-related busines	s activities conducted from this office location:					

			ment advisory business. You must complete a separate Schedul ng adviser, list only the largest twenty-five offices (in terms of i	
Number and Street 1: 103 GRANTON EDGE LANE		Number and Street 2:		
City: SUMMERVILLE	State: South Carolina	Country: United States	ZIP+4/Postal Code: 29486	
If this address is a private residence, check this box	c: 🗹			
Telephone Number: (651) 283-6966	Facsimile Number, if any	y:		
If this office location is also required to be registere (Form BR), please provide the <i>CRD</i> Branch Number		ority as a branch office location for a broker	-dealer or investment adviser on the Uniform Branch Office Reg	jistration Form
How many <i>employees</i> perform investment advisory 1	functions from this office location?			
Are other business activities conducted at this office   (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable depart   (3) Insurance broker or agent   (4) Commodity pool operator or commodity tradi   (5) Registered municipal advisor   (6) Accountant or accounting firm   (7) Lawyer or law firm	artment or division of a bank) ing advisor (whether registered or exem			
			ment advisory business. You must complete a separate Schedul ng adviser, list only the largest twenty-five offices (in terms of i	
Number and Street 1: 7840 JUG STREET		Number and Street 2:		
City: ALEXANDRIA	State: Ohio	Country: United States	ZIP+4/Postal Code: 43001	
If this address is a private residence, check this box	«: <b>☑</b>			
Telephone Number: (614) 352-8625	Facsimile Numbe	er, if any:		

If this office location is also required to be registered with FINRA or a <i>state secu</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch (	office location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office loc 1	cation?		
Are other business activities conducted at this office location? (check all that apply (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bank			
☑ (3) Insurance broker or agent	,		
$\square$ (4) Commodity pool operator or commodity trading advisor (whether register	ed or exempt from registrat	ion)	
(5) Registered municipal advisor			
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from this o	ffice location:		
Complete the following information for each office, other than your <i>principal offi</i> 1.F. for each location. If you are applying for SEC registration, if you are registe <i>employees</i> ).			
Number and Street 1: 5005 HORIZONS DRIVE, SUITE 100		Number and Street 2:	
City: COLUMBUS	State: Ohio	Country: United States	ZIP+4/Postal Code: 43220
COLUMBUS	Offic	Officed States	43220
If this address is a private residence, check this box: $\Box$			
Telephone Number: (614) 459-9000	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state secu</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch	office location for a broker-dealer or invest	tment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office loc 1	cation?		
Are other business activities conducted at this office location? (check all that ap	oly)		
(1) Broker-dealer (registered or unregistered)			
☐ (2) Bank (including a separately identifiable department or division of a bank  (3) Insurance broker or agent	)		
$\square$ (3) Insurance proker or agent $\square$ (4) Commodity pool operator or commodity trading advisor (whether register	ed or exempt from registrat	ion)	
$\square$ (5) Registered municipal advisor	,	•	
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			

Describe any other <i>investment-related</i> business activities conducted from	om this office location:		
Complete the following information for each office, other than your <i>pri</i> .  1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).		The state of the s	
Number and Street 1: 13702 COURSEY BLVD, BLDG 3B		Number and Street 2:	
City: BATON ROUGE	State: Louisiana	Country: United States	ZIP+4/Postal Code: 70817
If this address is a private residence, check this box: $\Box$			
Telephone Number: 2253618424	Facsimile Number, if any	:	
If this office location is also required to be registered with FINRA or a second (Form BR), please provide the <i>CRD</i> Branch Number here: 534169	state securities authority as	s a branch office location for a broker-dealer	or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	office location?		
Are other business activities conducted at this office location? (check a	ll that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
(3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	r registered or exempt from	m registration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted fr	om this office location:		
Complete the following information for each office, other than your <i>pri</i> .  1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).			· · · · · · · · · · · · · · · · · · ·
Number and Street 1: 26218 OAKRIDGE DRIVE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SPRING	Texas	United States	77380

Telephone Number:   Poscimile Number, if any; (837) 812-7515    If this officio location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment advisor on the Uniform Branch Office Registration Form (Firm Bib.), please provide the CRO Branch Number here: 137846    Row many employees perform investment advisory functions from this office location? 2  Are other business activities conducted at this office location? (check all that apply)    F(1) private-dealer (registered or unregistered)    F(2) please (provide a registered or unregistered)    F(3) please provide (registered or unregistered)    F(4) Commodity 2 and popular please provide (whether registered or exerct from registration)    F(4) Accounted or accounting from    F(5) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:  Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section  F(5) Lawyer or law firm  Describe any other investment-related business activities conducted any on the english of the schedule or secretary business activities and schedule or secretary business activities authority as a branch office location for a broker-dealer or investment advisor on the Uniform Branch Office Registration form (common Bib) place of the COD Branch Number h	If this address is a private residence, check this box: $\Box$			
Committee   Committee   CRD Branch Number here:   197946		Facsimile Number, if a	nny:	
Are other business activities conducted at this office location? (check all that apply)  (I) the poker-desider (registered or unregistered) (I) sank (notuding a separately identifiable department or division of a bank)  (I) Sharance broker or agent (I) Sharance broker or agent (I) Complete the policy pool operator or commodity trading advisor (whether registered or exempt from registration) (I) Shegistered municipal advisor (I) Shacountant or accounting firm (I) Shaver or law firm (I) Describe any other investment-related business activities conducted from this office location:    Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section (I) End of each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1:  Number and Street 2:  72F6 CHANDAN BIVD (I) State:  Country:  State:  Country:  ZTP+4/Postal Code:  MACHESINEY PARK  Illinois  United States  61115  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form RR), please provide the CRO Branch Number here:  How many employees perform investment advisory functions from this office location?  1	(Form BR), please provide the CRD Branch Number here:	ate securities authority as	s a branch office location fo	r a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
C (1) Broken-dealer (registered or unregistered)   C (2) Bank (including a separately identifiable department or division of a bank)   C (3) Issuance broker or agent   C (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)   C (6) Accountant or accounting firm   C (7) Lawyer or law firm   Describe any other investment-related business activities conducted from this office location:    Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section   1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting advisor, list only the largest twenty-five offices (in terms of numbers of employees).   Number and Street 1:		office location?		
Q3   Bank (including a separately identifiable department or division of a bank)	Are other business activities conducted at this office location? (check all	that apply)		
Geographic Process of agent   Geographic Commodity produperator or agent   Geographic Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)   Geographic Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)   Geographic Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting advisor, list only the largest threnty-five offices (in terms of numbers of employees).  Number and Street 1:  7268 CHANDAN BLVD  State: Country: ZIP+4/Postal Code:  MACHESNEY PARK Illinois United States 61115  If this address is a private residence, check this box:   Telephone Number: Facsimile Number, if any: (815) 900-7917  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRO Branch Number here:  10 was many employees perform investment advisory functions from this office location?  1 Are other business activities conducted at this office location? (check all that apply)	☑ (1) Broker-dealer (registered or unregistered)			
(4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)   (5) 8 Registered municipal advisor   (6) Accounting firm   (7) Lawyer or law firm   (7	$\square$ (2) Bank (including a separately identifiable department or division o	f a bank)		
Go Accountant or accounting firm    Corplete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section  If, for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 7268 CHANDAN BLVD    City:   State:   Country:   ZIP+4/Postal Code:   MACHESNEY PARK   Illinois   United States   61115  If this address is a private residence, check this box:     Telephone Number:   Facsimile Number, if any:   (815) 900-7817     If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (form 8R), please provide the CR/D Branch Number here:  How many employees perform investment advisory functions from this office location?   Are other business activities conducted at this office location? (check all that apply)	☑ (3) Insurance broker or agent			
Go Accountant or accounting firm   (7) Lawyer or law firm	$\square$ (4) Commodity pool operator or commodity trading advisor (whether	registered or exempt fro	m registration)	
Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1. F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 7268 CHANDAN BLVD  City: State: Country: ZIP+4/Postal Code: MACHESNEY PARK Illinois United States 61115  If this address is a private residence, check this box:  Telephone Number: Facsimile Number, if any: (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here: How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  (1) Revoker-dealer (registered or unregistered)	(5) Registered municipal advisor			
Describe any other investment-related business activities conducted from this office location:  Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting advisor, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 7268 CHANDAN BLVD  CITY: 8184: 8194 Country: 8194 Country: 8194 AlPostal Code: 81115  If this address is a private residence, check this box: 8195 Telephone Number: 8195 Facsimile Number, if any: 8195 Glical location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here: 8196 How many employees perform investment advisory functions from this office location? 8295 In Ace other business activities conducted at this office location? (check all that apply) 8295 (1) Broker-dealer (registered or unregistered)	(6) Accountant or accounting firm			
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1:  Number and Street 2:  72568 CHANDAN BLVD  City:  State:  Country:  ZIP+4/Postal Code:  MACHESNEY PARK  Illinois  United States  61115  If this address is a private residence, check this box:  Telephone Number:  Facsimile Number; if any:  (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  1  Are other business activities conducted at this office location? (check all that apply)	(7) Lawyer or law firm			
1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 7266 CHANDAN BLVD City: MACHESNEY PARK State: Country: MIniois United States G1115  If this address is a private residence, check this box:  Telephone Number: (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  I proker-dealer (registered or unregistered)	Describe any other investment-related business activities conducted fro	m this office location:		
1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 7266 CHANDAN BLVD City: MACHESNEY PARK State: Country: MIniois United States G1115  If this address is a private residence, check this box:  Telephone Number: (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  I proker-dealer (registered or unregistered)				
7268 CHANDAN BLVD  City: State: Country: ZIP+4/Postal Code: MACHESNEY PARK Illinois United States 61115  If this address is a private residence, check this box: □  Telephone Number: Facsimile Number, if any: (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  ▼ (1) Broker-dealer (registered or unregistered)	1.F. for each location. If you are applying for SEC registration, if you are			
MACHESNEY PARK  Illinois  United States  61115  If this address is a private residence, check this box: □  Telephone Number: (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  ▼ (1) Broker-dealer (registered or unregistered)			Number and Street 2:	
If this address is a private residence, check this box:   Telephone Number: Facsimile Number, if any:  (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered)		State:	Country:	ZIP+4/Postal Code:
Telephone Number:  (815) 900-7817  If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:  How many employees perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  If (1) Broker-dealer (registered or unregistered)	MACHESNEY PARK	Illinois	United States	61115
If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:  How many <i>employees</i> perform investment advisory functions from this office location?  Are other business activities conducted at this office location? (check all that apply)  I Broker-dealer (registered or unregistered)	If this address is a private residence, check this box: $\Box$			
(Form BR), please provide the <i>CRD</i> Branch Number here:  How many <i>employees</i> perform investment advisory functions from this office location?  1  Are other business activities conducted at this office location? (check all that apply)    (1) Broker-dealer (registered or unregistered)	'	Facsimile Number, if a	ny:	
Are other business activities conducted at this office location? (check all that apply)  ☑ (1) Broker-dealer (registered or unregistered)		tate securities authority as	s a branch office location fo	r a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
<b>☑</b> (1) Broker-dealer (registered or unregistered)		office location?		
<b>☑</b> (1) Broker-dealer (registered or unregistered)	Are other husiness activities conducted at this office location? (check all	that apply)		
		and appry)		
		f a bank)		

☑ (3) Insurance broker or agent ☐ (4) Commodity pool operator or commodity trading advisor (whe ☐ (5) Registered municipal advisor ☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm	ether registered or exempt from registration	n)		
Describe any other investment-related business activities conducte	d from this office location:			
Complete the following information for each office, other than your 1.F. for each location. If you are applying for SEC registration, if yo <i>employees</i> ).				
Number and Street 1: 800 WATERFRONT DRIVE, 3RD FLOOR		Number and Street 2:		
City: PITTSBURGH	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 15222	
If this address is a private residence, check this box: $\Box$				
Telephone Number: (412) 465-1493	Facsimile Number, if any:			
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here: 279860	r a <i>state securities authority</i> as a branch of	fice location for a broker-dea	ler or investment adviser on the Uniform Branch	Office Registration Form
How many <i>employees</i> perform investment advisory functions from 13	this office location?			
Are other business activities conducted at this office location? (chec	ck all that apply)			
<ul> <li>✓ (1) Broker-dealer (registered or unregistered)</li> <li>✓ (2) Bank (including a separately identifiable department or division</li> </ul>	ion of a hank)			
☑ (3) Insurance broker or agent	,			
$\square$ (4) Commodity pool operator or commodity trading advisor (whe	ether registered or exempt from registration	า)		
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
☐ (7) Lawyer or law firm				
Describe any other investment-related business activities conducte	ed from this office location:			
Complete the following information for each office, other than your	principal office and place of business, at w	hich you conduct investment	advisory business. You must complete a separa	te Schedule D Section

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:		Number and Street 2:	
225 FRIEND STREET, SUITE 600			
City:	State:	Country:	ZIP+4/Postal Code:
BOSTON	Maine	United States	02114
If this address is a private residence, check this box:			
If this address is a private residence, check this box.			
Telephone Number:	Facsimile Number, if any	:	
(203) 661-3441			
If this office location is also required to be registered with FINRA or a state	te securities authority as a brai	nch office location for a broker-dealer or inv	estment adviser on the Uniform Branch Office Registration Form
(Form BR), please provide the CRD Branch Number here:			
How many <i>employees</i> perform investment advisory functions from this of	fice location?		
2			
Are other business activities conducted at this office location? (check all t	hat apply)		
[ ·	пас арріу)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division of	a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether r	egistered or exempt from regis	stration)	
(5) Registered municipal advisor			
☐ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
(7) Early Cr or law min			
Describe any other <i>investment-related</i> business activities conducted from	this office location:		
Complete the following information for each office, other than your <i>princi</i>			
1.F. for each location. If you are applying for SEC registration, if you are	registered only with the SEC, o	r if you are an <i>exempt reporting adviser</i> , lis	t only the largest twenty-five offices (in terms of numbers of
employees).			
Number and Street 1:		Number and Street 2:	
9915 MIRA MESA BLVD, SUITE 110			
City:	State:	Country:	ZIP+4/Postal Code:
SAN DIEGO	California	United States	92131
If this address is a private residence, check this box:			
Talanhana Numbau	Facsimile Number, if any:		
Telephone Number: (858) 546-8686	racsimile Number, ii any:		
(030) 340 0000			
If this office location is also required to be registered with FINRA or a state	te securities authority as a brai	nch office location for a broker-dealer or inv	estment adviser on the Uniform Branch Office Registration Form
(Form BR), please provide the <i>CRD</i> Branch Number here:			
How many <i>employees</i> perform investment advisory functions from this of	fice location?		

Are other business activities conducted at this office location? (check [Image	n of a bank) ner registered or exempt from	n registration)	
Complete the following information for each office, other than your $p$ 1.F. for each location. If you are applying for SEC registration, if you $employees$ ).		•	· · · · · · · · · · · · · · · · · · ·
Number and Street 1: 6600 CITY WEST PARKWAY SUITE 308		Number and Street 2:	
City: EDEN PRAIRIE	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55344
If this address is a private residence, check this box: $oldsymbol{ec{oldsymbol{arepsilon}}}$			
Telephone Number: 952-657-5056	Facsimile Number, if ar	ny:	
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as	a branch office location for a broker-dealer	or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from the	is office location?		
Are other business activities conducted at this office location? (check (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (wheth (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	n of a bank)	n registration)	
Describe any other <i>investment-related</i> business activities conducted	from this office location:		

Complete the following information for each office, other than you 1.F. for each location. If you are applying for SEC registration, if you employees).	·	•	·
Number and Street 1: 85 W. ALGONQUIN ROAD, SUITE 395		Number and Street 2:	
City: ARLINGTON HEIGHTS	State: Illinois	Country: United States	ZIP+4/Postal Code: 60005
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 847-392-4100	Facsimile Number, if any 847-637-1278		
If this office location is also required to be registered with FINRA of (Form BR), please provide the <i>CRD</i> Branch Number here: 534192	or a <i>state securities authority</i> as a branch o	ffice location for a broker-dealer or inve	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 3	n this office location?		
Are other business activities conducted at this office location? (che (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or divis			
(3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wh $\square$ (5) Registered municipal advisor	nether registered or exempt from registration	on)	
(6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	ed from this office location:		
Complete the following information for each office, other than you 1.F. for each location. If you are applying for SEC registration, if you employees).		•	·
Number and Street 1: 34 CHADBOURNE STREET		Number and Street 2:	
City: BLUFFTON	State: South Carolina	Country: United States	ZIP+4/Postal Code: 29910
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (310) 422-0801	Facsimile Number, if any:		

If this office location is also required to be registered with FINRA or a <i>state s</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	securities authority as a branch office lo	ocation for a broker-dealer or investment	t adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 1	e location?		
Are other business activities conducted at this office location? (check all that   (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a box (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether regines) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	ank)		
Describe any other <i>investment-related</i> business activities conducted from th	is office location:		
Complete the following information for each office, other than your <i>principal</i> 1.F. for each location. If you are applying for SEC registration, if you are reg <i>employees</i> ).			
Number and Street 1: 12800 WHITEWATER DRIVE, SUITE 100		Number and Street 2:	
City: MINNETONKA	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55343
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 7634047105	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state</i> s (Form BR), please provide the <i>CRD</i> Branch Number here:	securities authority as a branch office lo	ocation for a broker-dealer or investment	t adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 1	e location?		
Are other business activities conducted at this office location? (check all that $\overline{V}$ (1) Broker-dealer (registered or unregistered)	apply)		
(2) Bank (including a separately identifiable department or division of a b	ank)		
<ul><li>✓ (3) Insurance broker or agent</li><li>✓ (4) Commodity pool operator or commodity trading advisor (whether regi</li></ul>	stered or exempt from registration)		
☐ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			

Describe any other investment-related business ac	tivities conducted from this office location:		
_		The state of the s	nt advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 700 CANAL STREET		Number and Street 2:	
City: STAMFORD	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06902
If this address is a private residence, check this bo	x: □		
Telephone Number: (203) 661-3441	Facsimile Number, if any:		
If this office location is also required to be register (Form BR), please provide the <i>CRD</i> Branch Numbe	•	a branch office location for a broker-d	ealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisor	y functions from this office location?		
Are other business activities conducted at this office   ✓ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable dep $\square$ (3) Insurance broker or agent			
(4) Commodity pool operator or commodity trace (5) Registered municipal advisor	ling advisor (whether registered or exempt fron	n registration)	
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business ac	tivities conducted from this office location:		
			nt advisory business. You must complete a separate Schedule D Section <i>adviser</i> , list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 18801 VENTURA BLVD, SUITE 207		Number and Street 2:	
City: SHERMAN OAKS	State: California	Country: United States	ZIP+4/Postal Code: 91403
If this address is a private residence, check this bo	ıx: □		

Telephone Number: (818) 614-3931	Facsimile Number, if any:			
If this office location is also required to be registered with FINRA or a state (Form BR), please provide the <i>CRD</i> Branch Number here: 534180	ate securities authority as a branch	office location for a broker-deale	or investment adviser on the Uniform Branch Office Registration Forr	n
How many <i>employees</i> perform investment advisory functions from this constant and the second	ffice location?			
Are other business activities conducted at this office location? (check all	that apply)			
✓ (1) Broker-dealer (registered or unregistered)	,,			
$\square$ (2) Bank (including a separately identifiable department or division of	a bank)			
☑ (3) Insurance broker or agent				
$\hfill\Box$ (4) Commodity pool operator or commodity trading advisor (whether	registered or exempt from registrat	ion)		
$\square$ (5) Registered municipal advisor				
$\square$ (6) Accountant or accounting firm				
$\square$ (7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activities conducted from	n this office location:			
Complete the following information for each office, other than your <i>princ</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).				
Number and Street 1: 1406 VETERANS DRIVE, SUITE 102		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
ELKHORN	Nebraska	United States	68022	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 402-697-5074	Facsimile Number, if any:			
If this office location is also required to be registered with FINRA or a state (Form BR), please provide the <i>CRD</i> Branch Number here:	ate securities authority as a branch	office location for a broker-dealer	or investment adviser on the Uniform Branch Office Registration Forr	n
How many <i>employees</i> perform investment advisory functions from this of	ffice location?			
Are other business activities conducted at this office location? (check all	that apply)			
✓ (1) Broker-dealer (registered or unregistered)	FF://			
$\square$ (2) Bank (including a separately identifiable department or division of	a bank)			

$\parallel \Box$ (4) Commodity pool operator or commodity trading advisor (whether	er registered or exempt from	registration)	
☐ (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business activities conducted fr	rom this office location:		
			investment advisory business. You must complete a separate Schedule D Section reporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 1479 FALKIRK LANE NW		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
KENNESAW	Georgia	United States	30152
If this address is a private residence, check this box: $\Box$			
Telephone Number: (770) 217-7542	Facsimile Number, if any:	:	
If this office location is also required to be registered with FINRA or a second (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as	a branch office location for a	broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	s office location?		
Are other business activities conducted at this office location? (check a	all that apply)		
(1) Broker-dealer (registered or unregistered)	,		
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	er registered or exempt from	registration)	
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted fr	rom this office location:		
			investment advisory business. You must complete a separate Schedule D Section reporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 7900 HIGH SCHOOL ROAD		Number and Str	eet 2:

City: ELKINS PARK	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 19027
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (609) 870-2069	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA of (Form BR), please provide the <i>CRD</i> Branch Number here:	r a <i>state securities authority</i> as a bran	nch office location for a broker-de	aler or investment adviser on the Uniform Branch Office Registration Form
How many $\ensuremath{\textit{employees}}$ perform investment advisory functions from 1	this office location?		
	ether registered or exempt from registed from this office location:	, at which you conduct investmer	nt advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 450 SKOKIE BLVD, SUITE 507	No	umber and Street 2:	
City: NORTHBROOK		ountry: nited States	ZIP+4/Postal Code: 60062
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 7737742600	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA of (Form BR), please provide the <i>CRD</i> Branch Number here:	r a <i>state securities authority</i> as a bran	nch office location for a broker-de	aler or investment adviser on the Uniform Branch Office Registration Form
How many $\ensuremath{\textit{employees}}$ perform investment advisory functions from 3	this office location?		
Are other business activities conducted at this office location? (che	ck all that apply)		

Clay Broker-dealer (registered or unregistered)
Complete the following information for each office, other than your principal office and place of business of employees).    Number and Street 1: 124 BROAD STREET   City: California   C
□ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm  Describe any other <i>investment-related</i> business activities conducted from this office location:  Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting advisor, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 124 BROAD STREET  City: City: ClovERDALE  State: Country: Villed States State: Country: Villed States States States States Country: Villed States Sta
□ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:  Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 124 BROAD STREET  City: CLOVERDALE  State: Country: CloverDALE  State: Country: United States 95425  If this address is a private residence, check this box: □
□ (6) Accountant or accounting firm □ (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:  Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 124 BROAD STREET  City: State: Country: CIOVERDALE  California  United States 95425  If this address is a private residence, check this box: □
Describe any other <i>investment-related</i> business activities conducted from this office location:  Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in terms of numbers of <i>employees</i> ).  Number and Street 1: 124 BROAD STREET  City: State: Country: CIOVERDALE  California  United States 95425  If this address is a private residence, check this box:
Describe any other <i>investment-related</i> business activities conducted from this office location:  Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in terms of numbers of <i>employees</i> ).  Number and Street 1: 124 BROAD STREET City: City: CloverDalle CloverDalle CloverDalle California United States 95425
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in terms of numbers of <i>employees</i> ).  Number and Street 1:  124 BROAD STREET  City:  State:  Country:  ZIP+4/Postal Code: CLOVERDALE  If this address is a private residence, check this box:
1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 124 BROAD STREET  City: City: CloverDale  California  California  Country: California  United States  95425  If this address is a private residence, check this box:
1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).  Number and Street 1: 124 BROAD STREET  City: City: CloverDale  California  California  Country: California  United States  95425  If this address is a private residence, check this box:
124 BROAD STREET  City: State: Country: ZIP+4/Postal Code: CLOVERDALE  CloverDale Clifornia United States 95425  If this address is a private residence, check this box:   Country: ZIP+4/Postal Code: 95425
City: CLOVERDALE State: Country: ZIP+4/Postal Code: United States 95425  If this address is a private residence, check this box:     Country:   Country:   ZIP+4/Postal Code:   95425
CLOVERDALE California United States 95425  If this address is a private residence, check this box:
If this address is a private residence, check this box: $\Box$
Telephone Number: Facsimile Number, if any:
receptione Number.
(707) 473-2733
If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:
How many <i>employees</i> perform investment advisory functions from this office location?  1
Are other business activities conducted at this office location? (check all that apply)
✓ (1) Broker-dealer (registered or unregistered)
(2) Bank (including a separately identifiable department or division of a bank)
(2) Joshik (mediating a separately identification of a bank)
(4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
(4) commonly pool operator or commonly trading advisor (whether registered or exempt from registration)
☐ (6) Accountant or accounting firm
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E (7) canyor or law mini
Describe any other <i>investment-related</i> business activities conducted from this office location:
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1. F. for each location. If you are applying for SEC registration, if you are registered only with the SEC or if you are applying adviser. Jist only the largest twenty-five offices (in terms of numbers of

https://crd.finra.org/iad/content/printhist/adv/sections/crd\_iad\_advidentifyinginfosection.aspx?viewChanges=N&FLNG\_PK=1946408

employees).			
Number and Street 1: 11225 COLLEGE BLVD, SUITE 105		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
OVERLAND PARK	Kansas	United States	66210
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (913) 951-5448	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	securities authority as a branch	n office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 1	e location?		
Are other business activities conducted at this office location? (check all that $\overline{\mathbf{V}}$ (1) Broker-dealer (registered or unregistered)	: apply)		
$\square$ (2) Bank (including a separately identifiable department or division of a b	ank)		
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity trading advisor (whether reg	stered or exempt from registra	ation)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from the	is office location:		
Complete the following information for each office, other than your <i>principal</i> 1.F. for each location. If you are applying for SEC registration, if you are reg <i>employees</i> ).		· · · · · · · · · · · · · · · · · · ·	
Number and Street 1: 202 W LAKEWAY ROAD	Ŋ	lumber and Street 2:	
City: Stat	e: (	Country:	ZIP+4/Postal Code:
GILLETTE Wyo	ming l	United States	82727
If this address is a private residence, check this box: $\Box$			
Telephone Number: Facs (307) 689-0701	imile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	securities authority as a branch	n office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form

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	Number and Church 3:				
	Number and Street 2:				
State:	Country:	ZIP+4/Postal Code:			
Utah	United States	84025			
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☑ (1) Broker-dealer (registered or unregistered) ☐ (2) Bank (including a separately identifiable department or division of a bank)					
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	bat apply)  coank)  distered or exempt from  his office location:  If office and place of but gistered only with the S  State: Utah  Facsimile Number, if an  securities authority as  the location?  at apply)  coank)	pank) pank) pank) pank) pank) pank) pankinistered or exempt from registration) parkinistered or exempt from registration from a exempt from registration from a exempt from registration from a from registration from a from registration from a from registration fr			

Complete the following information for each office, other than your 1.F. for each location. If you are applying for SEC registration, if you employees).					
Number and Street 1: 1402 JONES STREET, SUITE 202	1	Number and Street 2:			
City: OMAHA		Country: United States	ZIP+4/Postal Code: 68102		
If this address is a private residence, check this box: $lacktriangledown$					
Telephone Number: (402) 590-2020	Facsimile Number, if any:				
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a branch	office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form		
How many <i>employees</i> perform investment advisory functions from t	his office location?				
Are other business activities conducted at this office location? (check [v] (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division [v] (2) Incompany by long an accept					
<ul><li>✓ (3) Insurance broker or agent</li><li>✓ (4) Commodity pool operator or commodity trading advisor (whether the commodity processes in the commodity processes of the commodit</li></ul>	ther registered or exempt from registra	tion)			
☐ (5) Registered municipal advisor ☐ (6) Accountant or accounting firm					
(6) Accountant of accounting firm					
Describe any other <i>investment-related</i> business activities conducted from this office location:					
Complete the following information for each office, other than your 1.F. for each location. If you are applying for SEC registration, if you employees).	•	•	·		
Number and Street 1: 1464 WARWICK AVE		Number and Street 2:			
City:	State:	Country:	ZIP+4/Postal Code:		
WARWICK	Rhode Island	United States	02888		
If this address is a private residence, check this box: $\ \square$					
Telephone Number: (401) 780-9530	Facsimile Number, if any:				

If this office location is also required to be registered with FINRA or a second (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a brai	nch office location for a broker-dealer or inve	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	s office location?		
Are other business activities conducted at this office location? (check a	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	er registered or exempt from regis	stration)	
$\square$ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted fr	om this office location:		
Complete the following information for each office, other than your <i>pri</i> . 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).			·
Number and Street 1: 1666 S WOLFE ROAD		Number and Street 2:	
City: SUNNYVALE	State: California	Country: United States	ZIP+4/Postal Code: 94087
If this address is a private residence, check this box: $\Box$			
Telephone Number: (408) 685-2278	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a second (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a brai	nch office location for a broker-dealer or inve	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	s office location?		
Are other business activities conducted at this office location? (check at [v] (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division [v] (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether [v] (5) Registered municipal advisor  (6) Accountant or accounting firm	of a bank)	stration)	

[ (7) Lawyer or law firm						
Describe any other investment-related business activities conducted from this office location:						
· · · · · · · · · · · · · · · · · ·		•	advisory business. You must complete a separate Schedule D Section dviser, list only the largest twenty-five offices (in terms of numbers of			
Number and Street 1: 7901 STRICKLAND ROAD, SUITE 102		Number and Street 2:				
City: RALEIGH	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27615			
If this address is a private residence, check this box: $\Box$						
Telephone Number: (919) 271-6106	Facsimile Number, if any:					
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	or a state securities authority as a br	anch office location for a broker-dea	ler or investment adviser on the Uniform Branch Office Registration Form			
How many <i>employees</i> perform investment advisory functions from 1	m this office location?					
Are other business activities conducted at this office location? (ch	neck all that apply)					
☑ (1) Broker-dealer (registered or unregistered)						
$\square$ (2) Bank (including a separately identifiable department or div	rision of a bank)					
(3) Insurance broker or agent						
$\square$ (4) Commodity pool operator or commodity trading advisor (w	hether registered or exempt from reg	istration)				
(5) Registered municipal advisor						
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm						
(7) Lawyer of law lillii						
Describe any other investment-related business activities conducted from this office location:						
			advisory business. You must complete a separate Schedule D Section dviser, list only the largest twenty-five offices (in terms of numbers of			
Number and Street 1: 1410 S SALISBURY BLVD		Number and Street 2:				
City:	State:	Country:	ZIP+4/Postal Code:			
SALISBURY	Maryland	United States	21801			

If this address is a private residence, check this box: $\Box$				
Telephone Number: (410) 546-3999	Facsimile Number, if a	ny:		
If this office location is also required to be registered with FII (Form BR), please provide the <i>CRD</i> Branch Number here:	NRA or a state securities authority	as a branch office location for a broker	-dealer or investment adviser on the Uniform Branch Office R	legistration Form
How many <i>employees</i> perform investment advisory functions 1	from this office location?			
Are other business activities conducted at this office location	? (check all that apply)			
(1) Broker-dealer (registered or unregistered)	(**************************************			
$\square$ (2) Bank (including a separately identifiable department o	r division of a bank)			
☑ (3) Insurance broker or agent				
$\square$ (4) Commodity pool operator or commodity trading adviso	or (whether registered or exempt	from registration)		
☐ (5) Registered municipal advisor				
$\square$ (6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activities con	nducted from this office location:			
Complete the following information for each office, other that 1.F. for each location. If you are applying for SEC registration employees).				
1.F. for each location. If you are applying for SEC registration				
1.F. for each location. If you are applying for SEC registration employees).  Number and Street 1:		he SEC, or if you are an <i>exempt reportii</i>		
1.F. for each location. If you are applying for SEC registration employees).  Number and Street 1: 515 W CENTER AVENUE City:	n, if you are registered only with t State:	he SEC, or if you are an <i>exempt reportion</i> Number and Street 2:  Country:	ng adviser, list only the largest twenty-five offices (in terms of superscript in terms of superscript in the superscript in th	
1.F. for each location. If you are applying for SEC registration employees).  Number and Street 1: 515 W CENTER AVENUE City: VISALIA	n, if you are registered only with t State:	he SEC, or if you are an <i>exempt reportio</i> Number and Street 2:  Country: United States	ng adviser, list only the largest twenty-five offices (in terms of superscript in terms of superscript in the superscript in th	
1.F. for each location. If you are applying for SEC registration employees).  Number and Street 1: 515 W CENTER AVENUE City: VISALIA  If this address is a private residence, check this box:	State: California Facsimile Number, if ar	he SEC, or if you are an <i>exempt reportio</i> Number and Street 2:  Country: United States	ng adviser, list only the largest twenty-five offices (in terms of a superior of a sup	of numbers of
1.F. for each location. If you are applying for SEC registration employees).  Number and Street 1: 515 W CENTER AVENUE City: VISALIA  If this address is a private residence, check this box:  Telephone Number: (559) 733-3525  If this office location is also required to be registered with FII	State: California  Facsimile Number, if ar	he SEC, or if you are an <i>exempt reportio</i> Number and Street 2:  Country: United States	ng adviser, list only the largest twenty-five offices (in terms of a superior of a sup	of numbers of

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(4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt from r	egistration)			
(5) Registered municipal advisor	·	,			
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted	from this office location:				
, , , , , , , , , , , , , , , , , , , ,	•	•	vestment advisory business. You must complete a separate Schedule D Section porting adviser, list only the largest twenty-five offices (in terms of numbers of		
Number and Street 1: 1411 5TH STREET, SUITE 306		Number and Street 2:			
City:	State:	Country:	ZIP+4/Postal Code:		
SANTA MONICA	California	United States	90401		
If this address is a private residence, check this box: $\Box$					
Telephone Number:	Facsimile Number, if any:				
(310) 579-9560					
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a	branch office location for a bro	oker-dealer or investment adviser on the Uniform Branch Office Registration Form		
How many <i>employees</i> perform investment advisory functions from th 2	is office location?				
Are other business activities conducted at this office location? (check	all that apply)				
☑ (1) Broker-dealer (registered or unregistered)					
$\square$ (2) Bank (including a separately identifiable department or division	n of a bank)				
☑ (3) Insurance broker or agent					
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt from r	registration)			
$\square$ (5) Registered municipal advisor					
$\square$ (6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other <i>investment-related</i> business activities conducted	from this office location:				
		· ·	vestment advisory business. You must complete a separate Schedule D Section		

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 3388 FOUNDERS ROAD, SUITE 100		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
INDIANAPOLIS	Indiana	United States	46268
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (317) 805-6701	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state se</i> (Form BR), please provide the <i>CRD</i> Branch Number here: 706660	ecurities authority as a branch	office location for a broker-dealer or investi	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 6	location?		
Are other business activities conducted at this office location? (check all that a	apply)		
(1) Broker-dealer (registered or unregistered)			
(2) Bank (including a separately identifiable department or division of a bank	nk)		
(3) Insurance broker or agent	tound ou avament from unaistrati	:an)	
$\square$ (4) Commodity pool operator or commodity trading advisor (whether regist $\square$ (5) Registered municipal advisor	tered or exempt from registrat	ion)	
(6) Accountant or accounting firm			
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Describe any other investment-related business activities conducted from this	s office location:		
Complete the following information for each office, other than your <i>principal</i> of 1.F. for each location. If you are applying for SEC registration, if you are registerployees).			
Number and Street 1: 2413 W ALGONQUIN ROAD, SUITE 506		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ALGONQUIN	Illinois	United States	60102
If this address is a private residence, check this box: $\Box$			
Telephone Number: (847) 459-8800	Facsimile Number, if an	y:	
If this office location is also required to be registered with FINRA or a <i>state se</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	ecurities authority as a branch	office location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form

How many <i>employees</i> perform investment advisory functions from the	is office location?					
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$\Box$ (5) Registered municipal advisor	io regional or example in					
(6) Accountant or accounting firm						
(7) Lawyer or law firm						
Describe any other <i>investment-related</i> business activities conducted	from this office location:					
Complete the following information for each office, other than your <i>p</i>	rincipal office and place of L	business, at which you conduct inv	vestment advisory business. You must complete a separate Schedule	D Section		
1.F. for each location. If you are applying for SEC registration, if you	are registered only with the	e SEC, or if you are an <i>exempt rep</i> e	orting adviser, list only the largest twenty-five offices (in terms of no	umbers of		
employees).						
Number and Street 1:		North and and Charact 2				
2551 ROSWELL RD STE 209		Number and Street 2:				
City:	State:	Country:	ZIP+4/Postal Code:			
MARIETTA	Georgia	United States	30062			
If this address is a private residence, check this box: $\Box$						
Telephone Number:	Facsimile Number, if ar	ıy:				
(770) 973-5220						
If this office location is also required to be registered with EINDA or a	state cocurities authority	as a branch office location for a bro	oker dealer or investment advisor on the Uniform Pranch Office Regi	ictration Form		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	i state securities autilitity a	as a branch office location for a bro	oker-dealer of investment adviser on the officinity branch office kegi	Stration Form		
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How many <i>employees</i> perform investment advisory functions from the	is office location?					
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Are other business activities conducted at this office location? (check	all that apply)					
☑ (1) Broker-dealer (registered or unregistered)						
$\square$ (2) Bank (including a separately identifiable department or division	n of a bank)					
☑ (3) Insurance broker or agent	☑ (3) Insurance broker or agent					
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	ner registered or exempt fro	om registration)				
$\square$ (5) Registered municipal advisor						
$\square$ (6) Accountant or accounting firm						
$\square$ (7) Lawyer or law firm						
Describe any other <i>investment-related</i> business activities conducted	from this office location:					

Complete the following information for each office, other than your <i>print</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).	•		·
Number and Street 1: 23482 PERALTA DRIVE, SUITE B1		Number and Street 2:	
City: LAGUNA HILLS	State: California	Country: United States	ZIP+4/Postal Code: 92653
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (949) 296-1161	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a st. (Form BR), please provide the <i>CRD</i> Branch Number here:	ate securities authority as a branc	ch office location for a broker-dealer or inves	stment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this of	office location?		
Are other business activities conducted at this office location? (check all $\overline{\mathbb{Z}}$ (1) Broker-dealer (registered or unregistered)			
☑ (3) Insurance broker or agent	,		
(4) Commodity pool operator or commodity trading advisor (whether	registered or exempt from registr	ration)	
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm $\square$ (7) Lawyer or law firm			
(7) Lawyer of law IIIII			
Describe any other investment-related business activities conducted from	m this office location:		
Complete the following information for each office, other than your <i>print</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).			
Number and Street 1: 24800 DENSO DRIVE, SUITE140		Number and Street 2:	
City: SOUTHFIELD	State: Michigan	Country: United States	ZIP+4/Postal Code: 48033
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: 248-350-3400	Facsimile Number, if any:		

If this office location is also required to be registered with FINRA or a state (Form BR), please provide the <i>CRD</i> Branch Number here: 664854	ate securities authority as a branch offi	ice location for a broker-dealer or investm	ent adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this of	office location?		
Are other business activities conducted at this office location? (check all	that apply)		
<b>☑</b> (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division of	f a bank)		
(3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	registered or exempt from registration	)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from	m this office location:		
Complete the following information for each office, other than your <i>princ</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).	· · · · · · · · · · · · · · · · · · ·	•	·
Number and Street 1: 8099 STAGE HILLS BLVD, SUITE 105		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BARTLETT	Tennessee	United States	38134
If this address is a private residence, check this box: $\Box$			
Telephone Number: (901) 385-1234	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a state (Form BR), please provide the <i>CRD</i> Branch Number here:	ate securities authority as a branch offi	ice location for a broker-dealer or investm	ent adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this of	office location?		
Are other business activities conducted at this office location? (check all  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of  (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether  (5) Registered municipal advisor	f a bank)	)	

$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
			tment advisory business. You must complete a separate Schedule D Section
1.F. for each location. If you are applying for SEC registration, if you employees).	u are registered only with the SEC,	or if you are an <i>exempt repor</i>	ting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1:		Number and Street 2:	
94 WOODCHUCK HOLLOW ROAD		rumber and otreet 21	
City:	State:	Country:	ZIP+4/Postal Code:
COLD SPRING HARBOR	New York	United States	11724
If this address is a private residence, check this box: $\Box$			
Talankana Nisrakan	Carainalla Number if anno		
Telephone Number: (516) 677-6278	Facsimile Number, if any:		
(310) 077 3270			
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a bra	anch office location for a broke	er-dealer or investment adviser on the Uniform Branch Office Registration Form
How many employees perform investment advisory functions from t	this office location?		
1			
Are other business activities conducted at this office location? (chec	k all that apply)		
🗹 (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	on of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whe	ther registered or exempt from reg	istration)	
(5) Registered municipal advisor	, ,	,	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
(7) Lawyer of law fifth			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
		•	tment advisory business. You must complete a separate Schedule D Section ting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 3108 LITTLE ALDEN LAKE RD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
DULUTH	Minnesota	United States	55803

If this address is a private residence, check this box: $oldsymbol{arphi}$			
Telephone Number: (612) 991-8906	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a b	ranch office location for a broker-dealer or inv	vestment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th	nis office location?		
Are other business activities conducted at this office location? (check	all that apply)		
✓ (1) Broker-dealer (registered or unregistered)	an anac app.//		
(2) Bank (including a separately identifiable department or division	n of a bank)		
☑ (3) Insurance broker or agent	•		
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	her registered or exempt from re	gistration)	
☐ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
Complete the following information for each office, other than your $p$ 1.F. for each location. If you are applying for SEC registration, if you employees).		· · ·	
Number and Street 1: 7910 RALSTON ROAD, SUITE 7		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ARVADA	Colorado	United States	80002
If this address is a private residence, check this box: $\Box$			
Telephone Number: (303) 589-4602	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a b	ranch office location for a broker-dealer or in	vestment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th	nis office location?		
Are other business activities conducted at this office location? (check $\square$ (1) Broker-dealer (registered or unregistered)	all that apply)		

$\square$ (2) Bank (including a separately identifiable department or division of a bank)			
$\Box$ (4) Commodity pool operator or commodity trading advisor (whether registere	ed or exempt from registrat	tion)	
(5) Registered municipal advisor		,	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
(7) Lawyer of law fifth			
Describe any other investment-related business activities conducted from this off	fice location:		
Complete the following information for each office, other than your <i>principal office</i> 1.F. for each location. If you are applying for SEC registration, if you are registered employees).			
Number and Street 1:		Number and Street 2:	
4525 S WASATCH BLVD, SUITE 210	Chaha	Country	ZID L4/Deated Code
City: SALT LAKE CITY	State: Utah	Country: United States	ZIP+4/Postal Code: 84124
			•
If this address is a private residence, check this box: $\square$			
Telephone Number:	Facsimile Number, if any	:	
(801) 647-50			
If this office location is also required to be registered with FINRA or a <i>state secur</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch	office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office local 1	ation?		
Are other business activities conducted at this office location? (check all that app	lv)		
✓ (1) Broker-dealer (registered or unregistered)	-,,,		
(2) Bank (including a separately identifiable department or division of a bank)			
✓ (3) Insurance broker or agent			
$\Box$ (4) Commodity pool operator or commodity trading advisor (whether registere	ed or exempt from registrat	tion)	
(5) Registered municipal advisor		,	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
(7) Edity of the first			
Describe any other investment-related business activities conducted from this off	fice location:		
Complete the following information for each office, other than your <i>principal offic</i> 1.F. for each location. If you are applying for SEC registration, if you are registered employees).			

Number and Street 1: 26 N 2ND STREET		Number and Street 2:	
City: PICKENS	State: Mississippi	Country: United States	ZIP+4/Postal Code: 39146
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (662) 468-3832	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a brand	ch office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from th	nis office location?		
Are other business activities conducted at this office location? (check $\overline{\mathbb{Z}}$ (1) Broker-dealer (registered or unregistered)	all that apply)		
$\square$ (2) Bank (including a separately identifiable department or division	n of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	her registered or exempt from regist	ration)	
☐ (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
( ) Lawyer or tall illin			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
Complete the following information for each office, other than your p. 1.F. for each location. If you are applying for SEC registration, if you employees).			
Number and Street 1: 125 S WACKER DRIVE, SUITE 300		Number and Street 2:	
City: CHICAGO	State: Illinois	Country: United States	ZIP+4/Postal Code: 60606
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (708) 220-5011	Facsimile Number, if any	:	
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a brand	ch office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form

How many <i>employees</i> perform investment advisory functions from thi	is office location?		
Are other business activities conducted at this office location? (check	all that apply)		
🗹 (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from re	gistration)	
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	from this office location:		
Complete the following information for each office, other than your pr 1.F. for each location. If you are applying for SEC registration, if you a employees).			
Number and Street 1: 395 GUNTER AVENUE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
GUNTERSVILLE	Alabama	United States	35976
If this address is a private residence, check this box: $\Box$			
Telephone Number: (770) 389-9060	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a b	oranch office location for a broker-dealer or in	vestment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from thi 1	is office location?		
Are other business activities conducted at this office location? (check	all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (wheth	er registered or exempt from re	gistration)	
☐ (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	from this office location:		

Complete the following information for each office, other than your $pr$ 1.F. for each location. If you are applying for SEC registration, if you a $employees$ ).				
Number and Street 1: 2920 SANTIA DRIVE		Number and Stree	t 2:	
City: TROY	State: Michigan	Country: United States	ZIP+4/ 48085	Postal Code:
If this address is a private residence, check this box: $lacktriangledown$				
Telephone Number: (248) 953-3965	Facsimile Number,	if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities autho	ority as a branch office location f	or a broker-dealer or investment a	adviser on the Uniform Branch Office Registration Form
How many $\ensuremath{\textit{employees}}$ perform investment advisory functions from thi $1$	is office location?			
Are other business activities conducted at this office location? (check of the check	of a bank) er registered or exem			
Complete the following information for each office, other than your professions. If you are applying for SEC registration, if you are employees).		· · · · · · · · · · · · · · · · · · ·	-	
Number and Street 1: 14502 N DALE MABRY HIGHWAY, SUITE #200-134			Number and Street 2:	
City: TAMPA		State: Florida	Country: United States	ZIP+4/Postal Code: 33618
If this address is a private residence, check this box: ${f \centum{f \centum{\centur}{$\centur}}}$				
Telephone Number: (303) 589-4602		Facsimile Number, if any:		

If this office location is also required to be registered with FINRA or a <i>state s</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	ecurities authority as a branch	office location for a broker-dealer or investr	nent adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 1	location?		
Are other business activities conducted at this office location? (check all that	apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division of a basis	ank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether regis	stered or exempt from registrat	ion)	
lacksquare (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from this	s office location:		
Complete the following information for each office, other than your <i>principal</i> 1.F. for each location. If you are applying for SEC registration, if you are regi <i>employees</i> ).			·
Number and Street 1: 306 ACADEMY AVENUE, SUITE 106		Number and Street 2:	
City: DUBLIN	State: Georgia	Country: United States	ZIP+4/Postal Code: 31021
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (478) 279-0196	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state s</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	ecurities authority as a branch	office location for a broker-dealer or investr	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office 1	location?		
Are other business activities conducted at this office location? (check all that   (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bate   (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether registers)  (5) Registered municipal advisor  (6) Accountant or accounting firm	ank)	ion)	

(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conduct	red from this office location:		
		•	advisory business. You must complete a separate Schedule D Section viser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 11235 DAVENPORT STREET, SUITE 105		Number and Street 2:	
City: OMAHA	State: Nebraska	Country: United States	ZIP+4/Postal Code: 68154
If this address is a private residence, check this box: $\Box$			
Telephone Number: (402) 397-5440	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	or a <i>state securities authority</i> as a branch	office location for a broker-deal	er or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	n this office location?		
Are other business activities conducted at this office location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or divi	sion of a bank)		
(3) Insurance broker or agent		4:\	
$\square$ (4) Commodity pool operator or commodity trading advisor (we $\square$ (5) Registered municipal advisor	nether registered or exempt from registra	tion)	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conduct	red from this office location:		
		-	advisory business. You must complete a separate Schedule D Section viser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 2280 45TH STREET S, SUITE C		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
FARGO	North Dakota	United States	58104

If this address is a private residence, check this box: $\Box$			
Telephone Number: (608) 848-0403	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	or a state securities authority as a br	ranch office location for a broker-d	ealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	n this office location?		
Are other business activities conducted at this office location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or divi	ision of a bank)		
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity trading advisor (w	hether registered or exempt from reg	gistration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
□ (7) Lawyer or law firm			
Describe any other investment-related business activities conduct	ed from this office location:		
			nt advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 3597 E MONARCH SKY LANE, #240		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
MERIDIAN	Idaho	United States	83646
If this address is a private residence, check this box: $\Box$			
Telephone Number: (949) 455-0119	Facsimile Number, if	f any:	
If this office location is also required to be registered with FINRA (Form BR), please provide the <i>CRD</i> Branch Number here:	or a state securities authority as a br	ranch office location for a broker-do	ealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from 1	n this office location?		
Are other husiness activities conducted at this office legation? (ch	neck all that annly)		
Are other business activities conducted at this office location? (ch  (1) Broker-dealer (registered or unregistered)	еск ан шас арріу)		
(1) Broker-dealer (registered or unregistered) $\square$ (2) Bank (including a separately identifiable department or divi	ision of a hank)		
L (2) bank (including a separately identifiable department of divi	Sion of a bank,		

/3(		2::		

☑ (3) Insurance broker or agent				
(4) Commodity pool operator or commodity trading advis	or (whether registered or exempt from	m registration)		
(5) Registered municipal advisor	·	,		
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activities co	onducted from this office location:			
		*	dvisory business. You must complete a separate Schedule D Sectic iser, list only the largest twenty-five offices (in terms of numbers of numbe	
Number and Street 1: 100 MAIN AVENUE NW, SUITE 300		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
HICKORY	North Carolina	United States	28601	
If this address is a private residence, check this box: $\Box$				
Telephone Number: (828) 455-9773	Facsimile Number, if any:			
If this office location is also required to be registered with F (Form BR), please provide the <i>CRD</i> Branch Number here:  How many <i>employees</i> perform investment advisory function 2	,	s a branch office location for a broker-deale	r or investment adviser on the Uniform Branch Office Registration l	າ Form
Are other business activities conducted at this office location (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advis (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	or division of a bank) sor (whether registered or exempt from	m registration)		
_			dvisory business. You must complete a separate Schedule D Section	

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

2600 MAITLAND CENTER PKWY, SUITE 275		Number and Street 2:		
2000 MATTEAND CENTER TRW1, SOTTE 275				
City:	State:	Country:	ZIP+4/Postal Code:	
MAITLAND	Florida	United States	32751	
If this address is a private residence, check this box: $\Box$				
If this address is a private residence, check this box.				
Telephone Number:	Facsimile Number,	if any:		
(407) 898-5521				
If this office location is also required to be registered with FINRA or a	a <i>state securities authority</i> as a branc	h office location for a broker-dealer	r or investment adviser on the Uniform Branch Office Regist	ration Form
(Form BR), please provide the <i>CRD</i> Branch Number here:	•			
How many ampleyees nowform investment advisory functions from the	his office location?			
How many <i>employees</i> perform investment advisory functions from the	nis office location?			
1				
Are other business activities conducted at this office location? (check	call that apply)			
☑ (1) Broker-dealer (registered or unregistered)				
$\square$ (2) Bank (including a separately identifiable department or divisio	n of a bank)			
☑ (3) Insurance broker or agent	,			
$\square$ (4) Commodity pool operator or commodity trading advisor (whet	ther registered or exempt from registr	ation)		
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Danilla and the sign of the si	form the office leading			
Describe any other <i>investment-related</i> business activities conducted	from this office location:			
Complete the following information for each office, other than your p				
1.F. for each location. If you are applying for SEC registration, if you				
1.F. for each location. If you are applying for SEC registration, if you				
1.F. for each location. If you are applying for SEC registration, if you				
1.F. for each location. If you are applying for SEC registration, if you employees).		f you are an <i>exempt reporting advi</i>		
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET	are registered only with the SEC, or	f you are an exempt reporting advi	<i>iser</i> , list only the largest twenty-five offices (in terms of nun	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City:	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET	are registered only with the SEC, or	f you are an exempt reporting advi	<i>iser</i> , list only the largest twenty-five offices (in terms of nun	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City:	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box:	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box:	are registered only with the SEC, or in the SEC, or	f you are an exempt reporting advinous Number and Street 2:  Country:	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code:	
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box: □  Telephone Number: (423) 247-1123	are registered only with the SEC, or in State: Tennessee  Facsimile Number, if any:	f you are an <i>exempt reporting advi</i> Number and Street 2:  Country: United States	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code: 37664	nbers of
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box:	are registered only with the SEC, or in State: Tennessee  Facsimile Number, if any:	f you are an <i>exempt reporting advi</i> Number and Street 2:  Country: United States	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code: 37664	nbers of
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box: □  Telephone Number: (423) 247-1123  If this office location is also required to be registered with FINRA or a	are registered only with the SEC, or in State: Tennessee  Facsimile Number, if any:	f you are an <i>exempt reporting advi</i> Number and Street 2:  Country: United States	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code: 37664	nbers of
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box: □  Telephone Number: (423) 247-1123  If this office location is also required to be registered with FINRA or a (Form BR), please provide the CRD Branch Number here:	are registered only with the SEC, or in State: Tennessee  Facsimile Number, if any:  a state securities authority as a brance	f you are an <i>exempt reporting advi</i> Number and Street 2:  Country: United States	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code: 37664	nbers of
1.F. for each location. If you are applying for SEC registration, if you employees).  Number and Street 1: 1475 E CENTER STREET City: KINGSPORT  If this address is a private residence, check this box: □  Telephone Number: (423) 247-1123  If this office location is also required to be registered with FINRA or a	are registered only with the SEC, or in State: Tennessee  Facsimile Number, if any:  a state securities authority as a brance	f you are an <i>exempt reporting advi</i> Number and Street 2:  Country: United States	iser, list only the largest twenty-five offices (in terms of nun ZIP+4/Postal Code: 37664	nbers of

Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bank)  (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:						
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in terms of numbers of <i>employees</i> ).						
nber and Street 1: 0 MAIN STREET, SUITE 750		Number and Street 2:	Number and Street 2:			
City: SARASOTA	State: Florida	Country: United States	ZIP+4/Postal Code: 34236			
If this address is a private residence, check this box: $\Box$						
Telephone Number: 9413095239	Facsimile Number	Facsimile Number, if any:				
If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:						
How many <i>employees</i> perform investment advisory functions from this office location?						
Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Describe any other <i>investment-related</i> business activities conducted from this office location:						

Complete the following information for each office, other than your <i>princ</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).		The state of the s		
Number and Street 1: 407 LINCOLN ROAD, SUITE 8-G		Number and Street 2:		
City: MIAMI BEACH	State: Florida	Country: United States	ZIP+4/Postal Code: 33139	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 8475258967	Facsimile Number, if any:			
If this office location is also required to be registered with FINRA or a statement (Form BR), please provide the <i>CRD</i> Branch Number here:	ate securities authority as a b	oranch office location for a broker-de	ealer or investment adviser on the Uniform Branch Office Registration Form	
How many <i>employees</i> perform investment advisory functions from this of	office location?			
Are other business activities conducted at this office location? (check all  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of  (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether  (5) Registered municipal advisor	a bank)	gistration)		
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm ☐ Describe any other <i>investment-related</i> business activities conducted from	n this office location:			
Complete the following information for each office, other than your <i>print</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).				
Number and Street 1: 3655 EVONVALE GLEN		Number and Street 2:		
City: CUMMING	State: Georgia	Country: United States	ZIP+4/Postal Code: 30041	
If this address is a private residence, check this box: $lacktriangledown$				
Telephone Number: (770) 715-9349	Facsimile Number, if any:			

If this office location is also required to be registered with FINRA or a <i>state secu</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch o	ffice location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office loc 1	cation?		
Are other business activities conducted at this office location? (check all that apply (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bank (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether register (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm	)	on)	
Describe any other investment-related business activities conducted from this o	ffice location:		
Complete the following information for each office, other than your <i>principal offi</i> 1.F. for each location. If you are applying for SEC registration, if you are registe <i>employees</i> ).			
Number and Street 1: 91-1012 KANEHOALANI STREET, #B		Number and Street 2:	
City: KAPOLEI	State: Hawaii	Country: United States	ZIP+4/Postal Code: 96707
If this address is a private residence, check this box: 🗹			
Telephone Number: (808) 840-3697	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state secu</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch o	ffice location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office local 1	ation?		
Are other business activities conducted at this office location? (check all that applications are other business activities conducted at this office location?	oly)		
	1		
(3) Insurance broker or agent	,		
$\square$ (4) Commodity pool operator or commodity trading advisor (whether register	ed or exempt from registration	on)	
(5) Registered municipal advisor			
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			

Describe any other investment-related business activities conducted	from this office location:		
Complete the following information for each office, other than your plane. I.F. for each location. If you are applying for SEC registration, if you employees).			
Number and Street 1: 8 MACON STREET		Number and Street 2:	
City: MCDONOUGH	State: Georgia	Country: United States	ZIP+4/Postal Code: 30253
If this address is a private residence, check this box: $\Box$			
Telephone Number: (770) 389-9060	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a l	branch office location for a broker-dealer or i	nvestment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from to 1	his office location?		
Are other business activities conducted at this office location? (check	c all that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or divisio	n of a bank)		
(4) Commodity pool programs on commodity trading advisor (what	har radiatored ar avament from r	o gistustias \	
$\square$ (4) Commodity pool operator or commodity trading advisor (whet $\square$ (5) Registered municipal advisor	ner registered or exempt from re	egistration)	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
Complete the following information for each office, other than your $\mu$ 1.F. for each location. If you are applying for SEC registration, if you employees).		· · · · · · · · · · · · · · · · · · ·	
Number and Street 1: 10551 NE GERTIE JOHNSON ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BAINBRIDGE ISLAND	Washington	United States	98110
If this address is a private residence, check this box: 🔽			

Telephone Number: (559) 733-3525	Facsimile Number, if any	:	
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority	as a branch office location for a	a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many $employees$ perform investment advisory functions from to 1	his office location?		
Are other business activities conducted at this office location? (check	k all that apply)		
☑ (1) Broker-dealer (registered or unregistered)	,		
$\square$ (2) Bank (including a separately identifiable department or division	on of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whet	ther registered or exempt fr	rom registration)	
$\square$ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted	from this office location:		
			t investment advisory business. You must complete a separate Schedule D Section treporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 5315 WESTCHESTER		Number and Street 2:	
City: HOUSTON	State: Texas	Country: United States	ZIP+4/Postal Code: 77005
If this address is a private residence, check this box: ${\bf \Bar{arepsilon}}$			
Telephone Number: (832) 563-4455	Facsimile Number, if	fany:	
If this office location is also required to be registered with FINRA or (Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority	as a branch office location for a	a broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many $employees$ perform investment advisory functions from to 1	his office location?		
Are other business activities conducted at this office location? (check	k all that apply)		
✓ (1) Broker-dealer (registered or unregistered)	,,		
$\square$ (2) Bank (including a separately identifiable department or division	on of a bank)		
☑ (3) Insurance broker or agent			

$\parallel \Box$ (4) Commodity pool operator or commodity trading advisor (where	ther registered or exempt from regi	stration)	
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business activities conducted	from this office location:		
Constitute the fellowing information for such affine the such as			stment advisory business. You must complete a separate Schedule D Section
, , ,		'	rting adviser, list only the largest twenty-five offices (in terms of numbers of
employees).	Tale registered only men and deep of	or in you are an exemperepor	tang daviser, not only the language triont, me office (in terms of names) of
Number and Street 1:		Number and Street 2:	
185 N MAIN STREET, STE 105			
City:	State:	Country:	ZIP+4/Postal Code:
COLLIERSVILLE	Tennessee	United States	38017
<b>_</b>			
If this address is a private residence, check this box: $\Box$			
Telephone Number:	Facsimile Number, if any:		
(901) 591-8553			
If this office location is also required to be registered with FINDA or	a state cocurities authority as a bra	anch office location for a brok	ser-dealer or investment adviser on the Uniform Branch Office Registration Form
(Form BR), please provide the <i>CRD</i> Branch Number here:	a state securities authority as a bra	ilicii office location for a brok	ter-dealer of investment adviser on the official Branch Office Registration Form
(Community), prease provide the Grap Branch Hamber Helen			
How many <i>employees</i> perform investment advisory functions from t	this office location?		
3			
Are other business activities conducted at this office location? (check	k all that apply)		
☑ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	on of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whe	ther registered or exempt from regis	stration)	
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business activities conducted	from this office location:		
		-	stment advisory business. You must complete a separate Schedule D Section rting adviser, list only the largest twenty-five offices (in terms of numbers of
employees).	are registered only with the SEC, to	in you are all exempt repor	rung davisor, list only the largest twenty live offices (in terms of nullibers of
Number and Street 1:		Number and Street 2	2:
20A E ROSEVILLE ROAD, SUITE 4			

City: LANCASTER	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 17601	
If this address is a private residence, check this box	: <b>□</b>			
Telephone Number: (717) 435-8856	Facsimile Number, if any:			
If this office location is also required to be registered (Form BR), please provide the <i>CRD</i> Branch Number 650009		a branch office location for a broker-dea	ler or investment adviser on the Uniform Branch Office Registrat	cion Form
How many <i>employees</i> perform investment advisory 1	functions from this office location?			
Are other business activities conducted at this office	location? (check all that apply)			
lacksquare (1) Broker-dealer (registered or unregistered)				
$\square$ (2) Bank (including a separately identifiable depa	rtment or division of a bank)			
✓ (3) Insurance broker or agent				
$\square$ (4) Commodity pool operator or commodity tradii $\square$ (5) Registered municipal advisor	ng advisor (whether registered or exempt from	n registration)		
(5) Registered municipal advisor				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business acti	vities conducted from this office location:			
Complete the following information for each office, of 1.F. for each location. If you are applying for SEC re-	other than your <i>principal office and place of bo</i>		advisory business. You must complete a separate Schedule D S	oction
employees).	gistration, if you are registered only with the	SEC, or if you are an <i>exempt reporting a</i>	aviser, list only the largest twenty live offices (in terms of humb	
	gistration, if you are registered only with the	SEC, or if you are an <i>exempt reporting a</i> Number and Street 2:	aviser, list only the largest eventy live offices (in terms of hamb	
employees).  Number and Street 1:	gistration, if you are registered only with the  State:  Massachusetts		ZIP+4/Postal Code: 01830	
employees).  Number and Street 1: 40 KENOZA AVENUE City:	State: Massachusetts	Number and Street 2: Country:	ZIP+4/Postal Code:	
employees).  Number and Street 1: 40 KENOZA AVENUE  City: HAVERHILL	State: Massachusetts	Number and Street 2: Country:	ZIP+4/Postal Code:	
employees).  Number and Street 1: 40 KENOZA AVENUE City: HAVERHILL  If this address is a private residence, check this box Telephone Number: (781) 408-7925	State: Massachusetts  :  Facsimile Number, if any:  d with FINRA or a state securities authority as	Number and Street 2:  Country: United States	ZIP+4/Postal Code:	ers of

Are other business activities conducted at this office location? (check	all that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	າ of a bank)		
☑ (3) Insurance broker or agent	•		
$\Box$ (4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt from regi	stration)	
(5) Registered municipal advisor		,	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted f	from this office location:		
Complete the following information for each office, other than your <i>pr</i> 1.F. for each location. If you are applying for SEC registration, if you a			
employees).	are registered only with the BEG, o	or in you are an exempt reporting daviser, in	ist only the largest twenty live offices (in terms of hambers of
Number and Street 1:		Number and Street 2:	
2935 PINE LAKE ROAD, SUITE D			
City:	State:	Country:	ZIP+4/Postal Code:
LINCOLN	Nebraska	United States	68516
If this address is a private residence, check this box: $\Box$			
Telephone Number:	Facsimile Number, if any:		
(307) 287-0980			
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a bra	anch office location for a broker-dealer or in	vestment adviser on the Uniform Branch Office Registration Form
(rotti bk), please provide the CKD branch Number here.			
How many <i>employees</i> perform investment advisory functions from thi	is office location?		
1	is office location:		
Are other business activities conducted at this office location? (check	all that apply)		
$\square$ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
(3) Insurance broker or agent	. o. a sa,		
$\Box$ (4) Commodity pool operator or commodity trading advisor (wheth	ner registered or exempt from regi	stration)	
(included)	.e. regiocered or exempt from regio	5.1.5.1.7	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
(1) Lawyer or law min			
Describe any other <i>investment-related</i> business activities conducted f	from this office location:		
bestine any other investment related business activities conducted i	Tom and office location.		

			itment advisory business. You must complete a separate Schedule D S ting adviser, list only the largest twenty-five offices (in terms of numb	
Number and Street 1: 25 WINDEMERE AVENUE		Number and Street 2:		
City: MOUNT ARLINGTON	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07856	
If this address is a private residence, check this box: $\[ \]$	V			
Telephone Number: (917) 825-6114	Facsimile Number, if an	ıy:		
If this office location is also required to be registered w (Form BR), please provide the <i>CRD</i> Branch Number her		ty as a branch office location for a broke	er-dealer or investment adviser on the Uniform Branch Office Registrat	tion Form
How many <i>employees</i> perform investment advisory fur 1	nctions from this office location?			
Are other business activities conducted at this office loc	cation? (check all that apply)			
lacksquare (1) Broker-dealer (registered or unregistered)				
(2) Bank (including a separately identifiable departm	nent or division of a bank)			
(3) Insurance broker or agent				
(4) Commodity pool operator or commodity trading	advisor (whether registered or exempt	from registration)		
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> business activiti	ies conducted from this office location:			
		•	thment advisory business. You must complete a separate Schedule D S ting adviser, list only the largest twenty-five offices (in terms of numb	
Number and Street 1: 235 E 51ST STREET, #6D		Number and Street 2:		
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10022	
If this address is a private residence, check this box:	<b>⊽</b>			
Telephone Number: (858) 204-2438	Facsimile Number, if a	any:		

If this office location is also required to be registered with FINRA or a <i>state secul</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch o	ffice location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office local 1	ation?		
Are other business activities conducted at this office location? (check all that app [1] (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable department or division of a bank)  (3) Insurance broker or agent  (4) Commodity pool operator or commodity trading advisor (whether registered)  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this of	ed or exempt from registration	on)	
Complete the following information for each office, other than your <i>principal offic</i> 1.F. for each location. If you are applying for SEC registration, if you are register <i>employees</i> ).			
Number and Street 1: 225 FRANCISCAN SQUARE, SUITE C		Number and Street 2:	
City: STEUBENVILLE	State: Ohio	Country: United States	ZIP+4/Postal Code: 43952
If this address is a private residence, check this box: $\Box$			
Telephone Number: (724) 771-4849	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a <i>state secur</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	rities authority as a branch o	ffice location for a broker-dealer or invest	ment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this office local 1	ation?		
Are other business activities conducted at this office location? (check all that app (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm		on)	

Describe any other <i>investment-related</i> business activities conducted from	om this office location:		
Complete the following information for each office, other than your <i>prir</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).		· · · · · · · · · · · · · · · · · · ·	
Number and Street 1: 3150 NW 42ND, APT E101		Number and Street 2:	
City: COCONUT CREEK	State: Florida	Country: United States	ZIP+4/Postal Code: 33066
If this address is a private residence, check this box: 🗹			
Telephone Number: (954) 249-3032	Facsimile Number, if any	:	
If this office location is also required to be registered with FINRA or a s (Form BR), please provide the <i>CRD</i> Branch Number here:	tate securities authority as a	a branch office location for a broker-dealer o	r investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	office location?		
Are other business activities conducted at this office location? (check at	ll that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division (	of a bank)		
(3) Insurance broker or agent	u unaistanad an avament fuam	va a i atuati a n	
$\square$ (4) Commodity pool operator or commodity trading advisor (whethe $\square$ (5) Registered municipal advisor	r registered or exempt from	registration)	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from	om this office location:		
Complete the following information for each office, other than your <i>prir</i> 1.F. for each location. If you are applying for SEC registration, if you ar <i>employees</i> ).			
Number and Street 1: 3333 WILSHIRE BLVD, SUITE 800		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
LOS ANGELES	California	United States	90010
If this address is a private residence, check this box: $\Box$			

Telephone Number: (424) 634-0009	Facsimile Number, if any:		
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a	a branch office location for a	broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 4	s office location?		
Are other business activities conducted at this office location? (check a	all that apply)		
(1) Broker-dealer (registered or unregistered)	11 //		
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	er registered or exempt from	registration)	
$\square$ (5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted fr	rom this office location:		
			investment advisory business. You must complete a separate Schedule D Section reporting adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 1915 HIGHLAND OAKS DRIVE		Number and Street 2:	
City: WYLIE	State: Texas	Country: United States	ZIP+4/Postal Code: 75098
If this address is a private residence, check this box: ${\bf \centsum f \centsum \c$			
Telephone Number: (214) 864-6555	Facsimile Number, if a	ny:	
If this office location is also required to be registered with FINRA or a (Form BR), please provide the <i>CRD</i> Branch Number here:	state securities authority as a	a branch office location for a	broker-dealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions from this 1	s office location?		
Are other business activities conducted at this office location? (check a	all that apply)		
✓ (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
☑ (3) Insurance broker or agent			

$\parallel \Box$ (4) Commodity pool operator or commodity trading advisor (whethe	er registered or exempt from regis	tration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
☐ (7) Lawyer or law firm			
Describe any other investment-related business activities conducted from	om this office location:		
Complete the following information for each office, other than your noise	nainal office and place of business	at which you conduct investmen	ant advisam business Vey much complete a consumte Cabadula D Costian
· · · · · · · · · · · · · · · · · · ·	•	•	ant advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
employees).		in you are an exempt reporting	daribbity not only the largest thenet, me offices (in terms of named of
Number and Street 1:		Number and Street 2:	
2900 BRISTOL STREET, SUITE B300			
City:	State:	Country:	ZIP+4/Postal Code:
COSTA MESA	California	United States	92626
If this address is a private residence, check this box: $\Box$			
	- · · · · · · · · · · · · · · · · · · ·		
Telephone Number:   (949) 385-7225	Facsimile Number, if any:		
(3.13) 333 7.223			
If this office location is also required to be registered with FINRA or a s	state securities authority as a bran	nch office location for a broker-do	ealer or investment adviser on the Uniform Branch Office Registration Form
(Form BR), please provide the <i>CRD</i> Branch Number here:	,		
How many employees perform investment advisory functions from this	office location?		
1			
Are other business activities conducted at this office location? (check a	II that apply)		
(1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable department or division	of a bank)		
✓ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading advisor (whether	er registered or exempt from regis	tration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
$\square$ (7) Lawyer or law firm			
Donath and the state of the sta	this -66: Iti		
Describe any other investment-related business activities conducted from	om this office location:		
Complete the following information for each office, other than your <i>prin</i>	ncipal office and place of business	, at which you conduct investme	ent advisory business. You must complete a separate Schedule D Section
	re registered only with the SEC, or	r if you are an exempt reporting	adviser, list only the largest twenty-five offices (in terms of numbers of
employees).			
Number and Street 1: 2049 CENTURY PARK E, SUITE 1940		Number and Street 2:	
ZUTS CLIVIUNI FANN L, SUIIL ISHU			

## IARD - Form ADV, Identifying Information Section [User Name: zriley2, OrgID: 111052]

City: LOS ANGELES	State: California	Country:	ZIP+4/Postal Code: 90067
LOS ANGELES	California	United States	90067
If this address is a private residence, check this box: $\Box$			
, ,			
Telephone Number:	Facsimile Number, if any:		
(310) 579-9560			
If this office location is also required to be registered with FINRA or a <i>stat</i> (Form BR), please provide the <i>CRD</i> Branch Number here:	te securities authority as a branch	office location for a broker-dealer or inves	tment adviser on the Uniform Branch Office Registration Form
779946			
How many employees perform investment advisory functions from this of	fice location?		
5			
Annual harming and a shirithing and dealer and the shirt of the shirt	hak amali A		
Are other business activities conducted at this office location? (check all the conducted of the conducted o	пас арріу)		
(2) Bank (including a separately identifiable department or division of a	a hank)		
(3) Insurance broker or agent	a burney		
(4) Commodity pool operator or commodity trading advisor (whether re	egistered or exempt from registrat	ion)	
☐ (5) Registered municipal advisor		•	
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business activities conducted from	this office location:		
Complete the following information for each office, other than your <i>princip</i>	pal office and place of business, at	which you conduct investment advisory b	usiness. You must complete a separate Schedule D Section
1.F. for each location. If you are applying for SEC registration, if you are r			
1.F. for each location. If you are applying for SEC registration, if you are remployees).	registered only with the SEC, or if $\gamma$	ou are an <i>exempt reporting adviser</i> , list o	
1.F. for each location. If you are applying for SEC registration, if you are r	registered only with the SEC, or if $\gamma$		
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1:	registered only with the SEC, or if $_{ m N}$	ou are an <i>exempt reporting adviser</i> , list o	
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219	registered only with the SEC, or if y  N  State:	ou are an exempt reporting adviser, list on the control of the con	only the largest twenty-five offices (in terms of numbers of
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219  City: BRADENTON	registered only with the SEC, or if y  N  State:	ou are an exempt reporting adviser, list of the unit o	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code:
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219  City:	registered only with the SEC, or if y  N  State:	ou are an exempt reporting adviser, list of the unit o	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code:
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box:	registered only with the SEC, or if y  N  State: C  Florida U	ou are an exempt reporting adviser, list of the unit o	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code:
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219  City: BRADENTON	registered only with the SEC, or if y  N  State:	ou are an exempt reporting adviser, list of the unit o	only the largest twenty-five offices (in terms of numbers of STP+4/Postal Code:
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box:	registered only with the SEC, or if y  N  State: C  Florida U	ou are an exempt reporting adviser, list of the unit o	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code:
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box: □  Telephone Number: (941) 541-5599  If this office location is also required to be registered with FINRA or a state	registered only with the SEC, or if y  N  State: C  Florida U  Facsimile Number, if any:	ou are an exempt reporting adviser, list of umber and Street 2: ountry: nited States	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code: 34205
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box: □  Telephone Number: (941) 541-5599  If this office location is also required to be registered with FINRA or a state (Form BR), please provide the CRD Branch Number here:	registered only with the SEC, or if y  N  State: C  Florida U  Facsimile Number, if any:	ou are an exempt reporting adviser, list of umber and Street 2: ountry: nited States	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code: 34205
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box: □  Telephone Number: (941) 541-5599  If this office location is also required to be registered with FINRA or a state	registered only with the SEC, or if y  N  State: C  Florida U  Facsimile Number, if any:	ou are an exempt reporting adviser, list of umber and Street 2: ountry: nited States	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code: 34205
1.F. for each location. If you are applying for SEC registration, if you are remployees).  Number and Street 1: 1201 6TH AVENUE W, SUITE 219 City: BRADENTON  If this address is a private residence, check this box: □  Telephone Number: (941) 541-5599  If this office location is also required to be registered with FINRA or a state (Form BR), please provide the CRD Branch Number here:	Registered only with the SEC, or if your segment of the SEC, or if you with the SEC, or if you will be securities authority as a branch of the securities authority and the securities authority and the securities authority as a branch of the securities authority and the securities authority authority and the securities authority authority authority and the securities authority authori	ou are an exempt reporting adviser, list of umber and Street 2: ountry: nited States	only the largest twenty-five offices (in terms of numbers of ZIP+4/Postal Code: 34205

Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm  Describe any other investment-related business activities conducted from this office location:						
Complete the following information for each office, other the second sec						
Number and Street 1: 180 S LAKE AVENUE, SUITE 510		Number and Street 2:				
City: PASADENA	State: California	Country: United States	ZIP+4/Postal Code: 91101			
If this address is a private residence, check this box: $\Box$						
Telephone Number: (626) 440-5101	Facsimile Number, if a	nny:				
If this office location is also required to be registered with (Form BR), please provide the <i>CRD</i> Branch Number here:	FINRA or a state securities authority	y as a branch office location for a broke	r-dealer or investment adviser on the Uniform Branch Offi	ce Registration Form		
How many <i>employees</i> perform investment advisory function 1	ons from this office location?					
Are other business activities conducted at this office location? (check all that apply)  ☑ (1) Broker-dealer (registered or unregistered)  ☐ (2) Bank (including a separately identifiable department or division of a bank)  ☑ (3) Insurance broker or agent						
$\Box$ (4) Commodity pool operator or commodity trading adv $\Box$ (5) Registered municipal advisor	isor (whether registered or exempt	from registration)				
(6) Accountant or accounting firm						
(7) Lawyer or law firm						
Describe any other <i>investment-related</i> business activities of	conducted from this office location:					
<u> </u>						

, ,		· · · · · · · · · · · · · · · · · · ·	ent advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 20 HAYLOFT CIRCLE		Number and Street 2:	
City: WILMINGTON	State: Delaware	Country: United States	ZIP+4/Postal Code: 19808
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (302) 234-5430	Facsimile Number, if any	n:	
If this office location is also required to be registered with FINR (Form BR), please provide the <i>CRD</i> Branch Number here:	RA or a state securities authority a	as a branch office location for a broker-d	ealer or investment adviser on the Uniform Branch Office Registration Form
How many <i>employees</i> perform investment advisory functions for 1	rom this office location?		
Are other business activities conducted at this office location? (	(check all that apply)		
(1) Broker-dealer (registered or unregistered)			
(2) Bank (including a separately identifiable department or c	division of a bank)		
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity trading advisor	(whether registered or exempt fro	om registration)	
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business activities cond	ucted from this office location:		
			ent advisory business. You must complete a separate Schedule D Section adviser, list only the largest twenty-five offices (in terms of numbers of
Number and Street 1: 3823 OBRIANT PLACE		Number and Street 2:	
City: GREENSBORO	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27410
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (336) 455-1044	Facsimile Number, if any:		

If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:						
How many <i>employees</i> perform investment advisory functions from this office location?						
Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Complete the following information for each office, other than your <i>prin</i> 1.F. for each location. If you are applying for SEC registration, if you are <i>employees</i> ).						
Number and Street 1: 275 SOMERSET COURT		Number and Street 2:				
City: SANDY SPRINGS	State: Georgia	Country: United States	ZIP+4/Postal Code: 30350			
If this address is a private residence, check this box: 🗹						
Telephone Number: (404) 694-4532	Facsimile Number, if any:					
If this office location is also required to be registered with FINRA or a selection (Form BR), please provide the <i>CRD</i> Branch Number here:	tate securities authority as a	branch office location for a broker-dealer or ir	vestment adviser on the Uniform Branch Office Registration Form			
How many <i>employees</i> perform investment advisory functions from this 1	office location?					
Are other business activities conducted at this office location? (check al  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	f a bank)	egistration)				

CLIENT RECORDS

 $\label{thm:conducted} \mbox{ Describe any other } \mbox{ investment-related business activities conducted from this office location:}$ 

	SECTION 1.I. Website Addresses			
	List your website addresses, including addresses for accounts on public complete a separate Schedule D Section 1.I. for each website or accounts			but not limited to, Twitter, Facebook and/or LinkedIn). You must
	Address of Website/Account on Publicly Available Social Media Platform	: https://www.linkedin.com/se	arch/results/all/?fetchDeterministicClusters(	Only=true&heroEntityKey=urn%3Ali%3Aorganization%3A1518512
	Address of Website/Account on Publicly Available Social Media Platform	: HTTP://WWW.TLGADVISORS	.NET	
	Address of Website/Account on Publicly Available Social Media Platform	: HTTP://WWW.STARLIGHTPOF	RTFOLIOS.COM	
	SECTION 1.L. Location of Books and Records			
I,				
	Complete the following information for each location at which you keep each location.	your books and records, other t	han your <i>principal office and place of busine</i>	ss. You must complete a separate Schedule D, Section 1.L. for
	Name of entity where books and records are kept: TLG ADVISORS INC			
	Number and Street 1: 13702 COURSEY BLVD, BLDG 3B		Number and Street 2:	
	•	State: Louisiana	Country: United States	ZIP+4/Postal Code: 70817
	If this address is a private residence, check this box: $\ \square$			
	Telephone Number: 2253618424	Facsimile number, if any:		
	This is (check one):			
	<ul><li>one of your branch offices or affiliates.</li></ul>			
	C a third-party unaffiliated recordkeeper.			
	O other.			
	Briefly describe the books and records kept at this location.			

Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 8 MACON STREET		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
MCDONOUGH	Georgia	United States	30253
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (770) 389-9060	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 7268 CHANDAN BLVD		Number and Street 2:	
City: MACHESNEY PARK		Country: United States	ZIP+4/Postal Code: 61115
MACHESNET PARK	Tilliois	United States	61113
If this address is a private residence, check this box:			
Telephone Number: 8159007817	Facsimile number, if any	:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			

Number and Street 1: 1479 FALKIRK LANE NW		Number and Street 2:	
City: KENNESAW	State: Georgia	Country: United States	ZIP+4/Postal Code: 30152
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 770-217-7542	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: WEALTH STRATEGIES GROUP			
Number and Street 1: 5251 W 116TH PLACE, SUITE 200		Number and Street 2:	
City: LEAWOOD	State: Kansas	Country: United States	ZIP+4/Postal Code: 66211
If this address is a private residence, check this box: $lacktriangleright$			
Telephone Number: (913) 593-1917	Facsimile number, if	any:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			

Number and Street 1:		Number and Street 2:	
103 GRANTON EDGE LANE			
City: SUMMERVILLE	State: South Carolina	Country: United States	ZIP+4/Postal Code: 29486
JOHNERVILLE	South Carollia	Officed States	25400
If this address is a private residence, check this box:			
Telephone Number: (651) 283-6966	Facsimile number, if any:		
(601) 255 6566			
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1:		Number and Street 2:	
3330 GOAT FELL	Chahai	Country	ZID A/D-st-L C-d-
City: ANN ARBOR	State: Michigan	Country: United States	ZIP+4/Postal Code: 48108
If this address is a private residence, check this box:			
Talanhana Numbaru			
Telephone Number: (734) 277-6676	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
		Number and Street 2:	

City: GUNTERSVILLE	State: Alabama	Country: United States	ZIP+4/Postal Code: 35976
If this address is a private residence, check this box: $\Box$			
Telephone Number: (678) 787-4728	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: ELEMENT INSURANCE PARTNERS			
Number and Street 1: 1406 VETERANS DRIVE, SUITE 102		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ELKHORN	Nebraska	United States	68022
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (402) 697-5074	Facsimile number, if an	y:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: LEADERS FINANCIAL GROUP			
Number and Street 1: 26218 OAKRIDGE DR		Number and Street 2:	
City:		Country:	ZIP+4/Postal Code:
SPRING	Texas	United States	77380

If this address is a private residence, check this box: $\ \square$			
Telephone Number: (832) 381-2515	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: CLARITY FINANCIAL			
Number and Street 1: 2935 PINE LAKE ROAD, SUITE I		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
LINCOLN	Nebraska	United States	68516
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (402) 430-0841	Facsimile number, if any:		
This is (check one):			
one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: CRESTWOOD AGENCY LLC			
Number and Street 1:		Number and Street 2:	
515 W CENTER AVENUE			
City: VISALIA	State: California	Country: United States	ZIP+4/Postal Code: 93291
If this address is a private residence, check this box:			

Telephone Number: (559) 733-3525	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 11235 DAVENPORT STREET, SUITE 105		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ОМАНА	Nebraska	United States	68154
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (402) 397-5440	Facsimile number, if any:		
This is (check one):			
<b>⊙</b> one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 18801 VENTURA BLVD, SUITE 207		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SHERMAN OAKS	California	United States	91403
If this address is a private residence, check this box:			

Telephone Number: 310-477-0694	Facsimile number, if any:		
This is (check one):			
one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: 100 MAIN AVENUE NW, SUITE 300			
Number and Street 1: 219 1ST AVENUE SW		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
HICKORY	North Carolina	United States	28601
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (828) 455-9773	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1:		Number and Street 2:	
218 HILLSIDE DRIVE	<u>.</u>		
City: WALESKA	State: Georgia	Country: United States	ZIP+4/Postal Code: 30183
If this address is a private residence, check this box:			
Telephone Number: (678) 923-3828	Facsimile number, if any:		

This is (check one):  one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
O other.				
- Galeii				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 400 HWY 169 S, SUITE 200		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
ST LOUIS PARK	Minnesota	United States	55426	
If this address is a private residence, check this box:				
Telephone Number: (916) 679-0281	Facsimile number, if any:			
This is (check one):				
one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: THE BROKERS NETWORK LLC				
Number and Street 1: 2600 MAITLAND CENTER PKWY, SUITE 275		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
MAITLAND	Florida	United States	32751	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (407) 898-5521	Facsimile num	nber, if any:		

This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
CELENT RECORDS			
Name of entity where books and records are kept:			
TLG ADVISORS INC			
Number and Street 1:		Number and Street 2:	
4525 S WASATCH BLVD, SUITE 210			
City:	State:	Country:	ZIP+4/Postal Code:
SALT LAKE CITY	Utah	United States	84124
If this address is a private residence, check this box:			
Telephone Number:	Facsimile number, if any	:	
(801) 647-5030			
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location.			
CLIENT RECORDS			
Name of entity where books and records are kept:			
TLG ADVISORS INC			
Niverbay and Church 1.		Number and Street 2:	
Number and Street 1: 6900 WISCONSIN AVENUE, 5TH FLOOR		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CHEVY CHASE	Maryland	United States	20815
If this address is a private residence, check this box:			
In this address is a private residence, theth this box.			
Telephone Number:	Facsimile number, if any:		
3016522500			
This is (check one).			
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 94 WOODCHUCK HOLLOW ROAD		Number and Street 2:	
City: COLD SPRING HARBOR	State: New York	Country: United States	ZIP+4/Postal Code: 11724
If this address is a private residence, check this box:			
Telephone Number: (516) 677-6278	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 9915 MIRA MESA BLVD, SUITE 110		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SAN DIEGO	California	United States	92131
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (858) 546-8686	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 45 RESEARCH DRIVE		Number and Street 2:		
City: ANN ARBOR	State: Michigan	Country: United States	ZIP+4/Postal Code: 48103	
If this address is a private residence, check this box:				
Telephone Number: (734) 786-6140	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT FILES				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 2413 W ALGONQUIN ROAD, SUITE 506		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
ALGONQUIN	Illinois	United States	60102	
If this address is a private residence, check this box:				
Telephone Number: (847) 459-8800	Facsimile num	ber, if any:		
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 220 2ND AVENUE S		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
FRANKLIN	Tennessee	United States	37064
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (615) 628-3290	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: GIDEON STRATEGIC PARTNERS LLC			
Number and Street 1: 1411 5TH STREET, SUITE 306		Number and Street 2:	
City: SANTA MONICA	State: California	Country: United States	ZIP+4/Postal Code: 90401
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (310) 579-9560	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

	Number and Street 2:	
State: Nebraska	Country: United States	ZIP+4/Postal Code: 68102
Facsimile number, if any:		
	Number and Street 2:	
State: Kansas	Country: United States	ZIP+4/Postal Code: 66210
Facsimile number, if any	y:	
	Facsimile number, if any:  State: Kansas	State: Country: United States  Facsimile number, if any:  Number and Street 2:  State: Country:

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: FLETCHER INSURANCE GROUP DBA FLETCHER INS GROUP & F	IN SERVICES		
Number and Street 1: 7901 STRICKLAND ROAD, SUITE 102		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
RALEIGH	North Carolina	United States	27615
If this address is a private residence, check this box:			
Telephone Number: (919) 271-6106	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 1666 S WOLFE ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SUNNYVALE	California	United States	94087
If this address is a private residence, check this box:			
Telephone Number: 4086852278	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.					
C other.					
Briefly describe the books and records kept at this location. CLIENT RECORDS					
Name of entity where books and records are kept: TLG ADVISORS INC					
Number and Street 1: 14502 N DALE MABRY HIGHWAY, SUITE #200-134			Number and Street 2:		
City:		State:	Country:	ZIP+4/Postal Code:	
TAMPA		Florida	United States	33618	
If this address is a private residence, check this box:					
Telephone Number: (303) 589-4602		Facsimile number, if any:			
This is (check one):					
• one of your branch offices or affiliates.					
C a third-party unaffiliated recordkeeper.					
C other.					
Briefly describe the books and records kept at this location.  CLIENT RECORDS					
Name of entity where books and records are kept: TLG ADVISORS INC					
Number and Street 1: 124 BROAD STREET		Number and Street 2:	:		
City:	State:	Country:		ZIP+4/Postal Code:	
CLOVERDALE	California	United States	•	95425	
If this address is a private residence, check this box: $\ \Box$					
Telephone Number: 7074732733	Facsimile number, if ar	ny:			
This is (check one):					
• one of your branch offices or affiliates.					

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 700 CANAL STREET		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
STAMFORD	Connecticut	United States	06902
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (203) 661-3441	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 202 W LAKEWAY ROAD		Number and Street 2:	
City: GILLETTE	State: Wyoming	Country: United States	ZIP+4/Postal Code: 82727
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 3076890701	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: THE YATES AGENCY			
Number and Street 1: 1475 E CENTER STREET		Number and Street 2:	
City: KINGSPORT	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37664
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (423) 247-1123	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 10551 NE GERTIE JOHNSON ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BAINBRIDGE ISLAND	Washington	United States	98110
If this address is a private residence, check this box:			
Telephone Number: (559) 733-3525	Facsimile number, if any:		
This is (check one):  one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: WEALTH ADVANTAGE GROUP				
Number and Street 1: 5005 HORIZONS DRIVE, SUITE 100			Number and Street 2:	
City:	State:		Country:	ZIP+4/Postal Code:
COLUMBUS	Ohio		United States	43220
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile nui	mber, if any:		
(614) 459-9000				
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location.  CLIENT FILES				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 670 SHEPARD LANE, #101		Number a	nd Street 2:	
City:	State:	Country:		ZIP+4/Postal Code:
FARMINGTON	Utah	United Sta	ates	84025
If this address is a private residence, check this box:				
Telephone Number: (801) 447-9487	Facsimile number, if a	any:		
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 10108 KRAUSE ROAD, SUITE 201		Number and Street 2:	
City: CHESTERFIELD	State: Virginia	Country: United States	ZIP+4/Postal Code: 23832
If this address is a private residence, check this box: $\Box$			
Telephone Number: 8047680541	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 1700 LEILA DRIVE, SUITE 110		Number and Street 2:	
City: JACKSON	State: Mississippi	Country: United States	ZIP+4/Postal Code: 39216
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: 6019821117	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 1990 MAIN STREET, SUITE 750		Number and Street 2:	
City: SARASOTA	State: Florida	Country: United States	ZIP+4/Postal Code: 34236
If this address is a private residence, check this box:			
Telephone Number: (941) 309-5243	Facsimile number, if	any:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 2001 BOMAR STREET		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
MARSHALL	Texas	United States	75670
If this address is a private residence, check this box:			
Telephone Number: (484) 794-0574	Facsimile number, if an	y:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 2300 EMERALD COURT		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CASTLE ROCK	Colorado	United States	80104
If this address is a private residence, check this box:			
Telephone Number: (303) 979-8384	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: GERRARD ADVISORS LLC			
Number and Street 1: 407 LINCOLN ROAD, SUITE 8-G		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
MIAMI BEACH	Florida	United States	33139
If this address is a private residence, check this box:			
Telephone Number: 8475258967	Facsimile number, if an	ıy:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 34 CHADBOURNE STREET		Number and Street 2:	
City:	State:	Country: United States	ZIP+4/Postal Code: 29910
BLUFFTON	South Carolina	United States	29910
If this address is a private residence, check this box: 🔽			
Telephone Number: 3104220801	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1:		Number and Street 2:	
3388 FOUNDERS ROAD, SUITE 100 City:	State:	Country:	ZIP+4/Postal Code:
INDIANAPOLIS	Indiana	United States	46268
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 3178056701	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 6600 CITY WEST PARKWAY		Number and Street 2: SUITE 308	
City: EDEN PRAIRE	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55344
If this address is a private residence, check this box:			
Telephone Number: 952-657-5056	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 9311 SE 36 STREET		Number and Street 2: SUITE 105	
City:	State:	Country:	ZIP+4/Postal Code:
MERCER ISLAND	Washington	United States	98040
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 206-236-0201	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 350 HIGHWAY 7		Number and Street 2: #241	
City: EXCELSIOR	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55331
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: 763-231-7316	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 1210 JACKSBORO PIKE		Number and Street 2:	
City:  LAFOLLETTE	State: Texas	Country: United States	ZIP+4/Postal Code: 37766
Broteene	icxus	officed States	37760
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (423) 562-3346	Facsimile number, if ar	ny:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 306 ACADEMY AVENUE, SUITE 106		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
DUBLIN	Georgia	United States	31021
If this address is a private residence, check this box:			
Telephone Number: (478) 279-0196	Facsimile number, if any	:	
(476) 275 0150			
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 225 FRIEND STREET, SUITE 600		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BOSTON	Maine	United States	02114
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 2036613441	Facsimile number, if any	<i>y</i> :	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 26 N 2ND STREET		Number and Street 2:	
City: PICKENS	State: Mississippi	Country: United States	ZIP+4/Postal Code: 39146
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: 6624683832	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: WILLIAM STAPLES INS & FINANCIAL SVCS INC			
Number and Street 1: 1410 S SALISBURY BLVD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SALISBURY	Maryland	United States	21801
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (410) 546-3999	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 85 W. ALGONQUIN ROAD, SUITE 395		Number and Street 2:	
City: ARLINGTON HEIGHTS	State: Illinois	Country: United States	ZIP+4/Postal Code: 60005
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 847-392-4100	Facsimile number, if an	у:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 125 S WACKER DRIVE, SUITE 300		Number and Street 2:	
City: CHICAGO		Country: United States	ZIP+4/Postal Code: 60606
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (708) 220-5011	Facsimile number, if any:		
This is (check one):  one of your branch offices or affiliates.			
II			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 3625 DEL AMO BLVD, SUITE 330		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
TORRANCE	California	United States	90503
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 310-698-0698	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 5109 WATSON ROAD		Number and Street 2:	
City: ERIE	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 16505
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: 814-746-1049	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 7900 HIGH SCHOOL ROAD		Number and Street 2:	
City: ELKINS PARK	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 19027
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (609) 870-2069	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 8099 STAGE HILLS BLVD, SUITE 105		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BARTLETT	Tennessee	United States	38134
If this address is a private residence, check this box:			
Telephone Number: (901) 385-1234	Facsimile number, if any:		
This is (check one):  one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 23482 PERALTA DRIVE, SUITE B1		Number and Street 2:	
City: LAGUNA HILLS	State: California	Country: United States	ZIP+4/Postal Code: 92653
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (949) 296-1161	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: INSLEY INVESTMENT GROUP LLC			
Number and Street 1: 110 CHRISTIANA MEDICAL CENTER		Number and Street 2:	
City: NEWARK	State: Delaware	Country: United States	ZIP+4/Postal Code: 19702
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (302) 286-0777	Facsimile number, if any:		
This is (check one):  one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT FILES				
Name of entity where books and records are kept: TLG ADVISOR, INC.				
Number and Street 1: 3655 EVONVALE GLEN		Number and Street 2:		
City: CUMMING	State: Georgia	Country: United States	ZIP+4/Postal Code: 30041	
If this address is a private residence, check this box:				
Telephone Number: (770) 715-9349	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVIORS INC				
Number and Street 1: 9208 FALLS OF NEUSE ROAD, SUITE 115		Number and Street 2:		
City: RALEIGH	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27615	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (919) 633-3210	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC				
Number and Street 1: 109 DONELSON PIKE		Number and Street 2:		
City: NASHVILLE	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37214	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (615) 871-4767	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 7840 JUG STREET		Number and Street 2:		
City: ALEXANDRIA	State: Ohio	Country: United States	ZIP+4/Postal Code: 43001	
If this address is a private residence, check this box:				
Telephone Number: (614) 352-8625	Facsimile number, if a	any:		
This is (check one):  one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 175 DERBY STREET, SUITE 33		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
HINGHAM	Massachusetts	United States	02043
If this address is a private residence, check this box:			
Telephone Number: (512) 297-7815	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 5901 W CENTURY BLVD, #750		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
LOS ANGELES	California	United States	90045
If this address is a private residence, check this box:			
Telephone Number: (626) 676-4232	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: E4 INSURANCE SERVICES LLC			
Number and Street 1: 2280 45TH STREET S, SUITE C		Number and Street 2:	
City: FARGO	State: North Dakota	Country: United States	ZIP+4/Postal Code: 58104
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (608) 848-0403	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 262 HALF HOLLOW ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
DIX HILLS	New York	United States	11746
If this address is a private residence, check this box:			
Telephone Number: (516) 695-4662	Facsimile number, if any:		
This is (check one):  one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT FILES				
Name of entity where books and records are kept: SCHMIDT FINANCIAL				
Number and Street 1: 450 SKOKIE BLVD, SUITE 507		Number and Street 2:		
City: NORTHBROOK	State: Illinois	Country: United States	ZIP+4/Postal Code: 60062	
If this address is a private residence, check this box:				
Telephone Number: 450 SKOKIE BLV	Facsimile number, if an	ıy:		
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: FINANCIAL PROFESSIONALS GROUP				
Number and Street 1: 3597 E MONARCH SKY LANE, #240		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
MERIDIAN	Idaho	United States	83646	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (949) 455-0119	Facsimile numb	er, if any:		
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location.  CLIENT FILES				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 406 GEORGETOWN COURT		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
WEXFORD	Pennsylvania	United States	15090	
If this address is a private residence, check this box: $lacktriangledown$				
Telephone Number: 4124524105	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 12800 WHITEWATER DRIVE, SUITE 100		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
MINNETONKA	Minnesota	United States	55343	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 7634047105	Facsimile number, if any:			
This is (check one):				
one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 2850 GOLF ROAD		Number and S	Street 2:	
City: ROLLING MEADOWS	State: Illinois	Country: United States		ZIP+4/Postal Code: 60008
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 3129827433	Facsimile number, if ar	ny:		
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC.				
Number and Street 1: 91-1012 KANEHOALANI STREET, #B		N	lumber and Street 2:	
City: KAPOLEI	State: Hawaii		ountry: Inited States	ZIP+4/Postal Code: 96707
NAI OLLI	Hawaii	UI	inited States	20707
If this address is a private residence, check this box:				
Telephone Number: (808) 840-3697	Facsimile num	nber, if any:		
This is (check one):				
<b>⊙</b> one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: WEALTH STRATEGIES GROUP			
Number and Street 1: 185 N MAIN STREET, STE 105		Number and Street 2:	
'	State:	Country:	ZIP+4/Postal Code:
COLLIERSVILLE	Tennessee	United States	38017
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (901) 591-8553	Facsimile number, if any:		
This is (check one):			
one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 5315 WESTCHESTER		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
HOUSTON	Texas	United States	77005
If this address is a private residence, check this box:			
Telephone Number: (832) 563-4455	Facsimile number, if a	ny:	
This is (check one):			
one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 1520 CARLEMONT DRIVE, SUITE J		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CRYSTAL LAKE	Illinois	United States	60014
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (260) 312-4800	Facsimile number, it	fany:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 7910 RALSTON ROAD, SUITE 7		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ARVADA	Colorado	United States	80002
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (303) 589-4602	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT FILES			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 20A E ROSEVILLE ROAD, SUITE 4		Number and Street 2:	
City: LANCASTER	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 17601
If this address is a private residence, check this box: $\Box$			
Telephone Number: (717) 435-8856	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 40 KENOZA AVENUE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
HAVERHILL	Massachusetts	United States	01830
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (781) 408-7925	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
II			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 2935 PINE LAKE ROAD, SUITE D		Number and Street 2:	
City: LINCOLN	State: Nebraska	Country: United States	ZIP+4/Postal Code: 68516
Lincoln	Nebraska	Officed States	00310
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (307) 287-0980	Facsimile number, if any:		
This is (check one):			
one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1:		Number and Street 2:	
156 S SAGE HOLLOW			
City: DRIPPING SPRINGS	State:	Country: United States	ZIP+4/Postal Code: 78620
DRIPPING SPRINGS	Texas	United States	78020
If this address is a private residence, check this box:			
Telephone Number: (512) 538-6271	Facsimile number, if ar	ny:	
This is (check one):			
one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CLIENT FILES				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 2920 SANTIA DRIVE		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
TROY	Michigan	United States	48085	
If this address is a private residence, check this box:				
Telephone Number: (248) 953-3965	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS INC				
Number and Street 1: 518 W PALMETTO STREET		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
FLORENCE	South Carolina	United States	29501	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (843) 229-0667	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT FILES			
Name of entity where books and records are kept: FINANCIAL ADVISORY ASSOCIATES			
Number and Street 1: 24800 DENSO DR, STE 140		Number and Street 2:	
City: SOUTHFIELD	State: Michigan	Country: United States	ZIP+4/Postal Code: 48033
If this address is a private residence, check this box: $\Box$			
Telephone Number: (248) 350-3400	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 7791 BELFORT PARKWAY		Number and Street 2:	
City: JACKSONVILLE	State: Florida	Country: United States	ZIP+4/Postal Code: 32256
If this address is a private residence, check this box:			
Telephone Number: 904-296-4100	Facsimile number, if an	y:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: GLOBAL RELAY			
Number and Street 1: 220 CAMBIE STREET, 2ND FLOOR		Number and Street 2:	
City: VANCOUVER	State:	Country: Canada	ZIP+4/Postal Code:
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 6044846630	Facsimile nu	mber, if any:	
This is (check one):			
C one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  EMAIL			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 2551 ROSWELL ROAD		Number and Street 2: SUITE 209	
City:	State:	Country:	ZIP+4/Postal Code:
MARIETTA	Georgia	United States	30062
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 770-973-5220	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 3108 LITTLE ALDEN LAKE ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
DULUTH	Minnesota	United States	55803
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 612-991-8906	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS			
Number and Street 1: 5105 W. GENESEE ST.		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CAMILLUS	New York	United States	13031
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 315-488-0901	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS INC			
Number and Street 1: 800 WATERFRONT DRIVE, 3RD FLOOR		Number and Street 2:	
City: PITTSBURGH	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 15222
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: 4124651493	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1:		Number and Street 2:	
25 WINDEMERE AVENUE			
City: MOUNT ARLINGTON	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07856
If this address is a private residence, check this box: 🗹			
Telephone Number: (917) 825-6114	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT ACCOUNT RECORDS			
Name of entity where books and records are kept: ORANGE CAT LLC			
Number and Street 1: 235 E 51ST STREET, #6D		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
NEW YORK	New York	United States	10022
If this address is a private residence, check this box: 🗹			
Telephone Number: (858) 204-2438	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT ACCOUNT RECORDS			
Name of entity where books and records are kept: ILLUMINATION FINANCIAL GROUP LLC			
Number and Street 1: 225 FRANCISCAN SQUARE, SUITE C		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
STEUBENVILLE	Ohio	United States	43952
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (724) 771-4849	Facsimile number,	, if any:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT ACCOUNT RECORDS			
Name of entity where books and records are kept: EVERLASTING WEALTH STRATEGIES LLC			
Number and Street 1: 3150 NW 42ND, APT E101		Number and Street 2:	
City: COCONUT CREEK	State: Florida	Country: United States	ZIP+4/Postal Code: 33066
COCONOT CREEK	Tivilua	Officed States	33000
If this address is a private residence, check this box: $lacktriangledown$			
Telephone Number: (954) 249-3032	Facsimile number, if any	y:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. CLIENT ACCOUNT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 3333 WILSHIRE BLVD, SUITE 800		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
LOS ANGELES	California	United States	90010
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (424) 634-0009	Facsimile number, if any	<i>r</i> :	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location. CLIENT ACCOUNT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC.				
Number and Street 1: 1915 HIGHLAND OAKS DRIVE		Numbe	r and Street 2:	
City: WYLIE	State:	Country United		ZIP+4/Postal Code: 75098
WYLIE	Texas	United	States	75098
If this address is a private residence, check this box: $lacktriangledown$				
Telephone Number: (214) 864-6555	Facsimile number, if a	ny:		
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT ACCOUNT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC.				
Number and Street 1: 2900 BRISTOL STREET, SUITE B300			Number and Street 2:	
City:	State:		Country:	ZIP+4/Postal Code:
COSTA MESA	California		United States	92626
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (949) 385-7225	Facsimile number, if an	ıy:		
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: GIDEON STRATEGIC PARTNERS LLC			
Number and Street 1: 2049 CENTURY PARK E, SUITE 1940		Number and Street 2:	
City: LOS ANGELES	State: California	Country: United States	ZIP+4/Postal Code: 90067
If this address is a private residence, check this box: $\ \square$			
Telephone Number: (310) 579-9560	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 1201 6TH AVENUE W, SUITE 219		Number and Street 2:	
City: BRADENTON	State: Florida	Country: United States	ZIP+4/Postal Code: 34205
If this address is a private residence, check this box: $\ \Box$			
Telephone Number: (941) 541-5599	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
I and the second			

C a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 180 S LAKE AVENUE, SUITE 510		Number and Street 2:	
City: PASADENA	State: California	Country: United States	ZIP+4/Postal Code: 91101
If this address is a private residence, check this box:			
Telephone Number: (626) 440-5101	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location.  CLIENT RECORDS			
Name of entity where books and records are kept: TLG ADVISORS, INC.			
Number and Street 1: 20 HAYLOFT CIRCLE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
WILMINGTON	Delaware	United States	19808
If this address is a private residence, check this box:			
Telephone Number: (302) 234-5430	Facsimile number, if any:		
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC.				
Number and Street 1: 3823 OBRIANT PLACE		Number and Street 2:		
City: GREENSBORO	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27410	
If this address is a private residence, check this box:				
Telephone Number: (336) 455-1044	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this location.  CLIENT RECORDS				
Name of entity where books and records are kept: TLG ADVISORS, INC.				
Number and Street 1: 275 SOMERSET COURT		Number and Street 2:		
City: SANDY SPRINGS	State: Georgia	Country: United States	ZIP+4/Postal Code: 30350	
JANUT STRINGS	Georgia	Officed States	30330	
If this address is a private residence, check this box: 🔽				
Telephone Number: (404) 694-4532	Facsimile number, if any:			
This is (check one):				
• one of your branch offices or affiliates.				

C a third-party unaffiliat	ed recordkeeper
C other.	

Briefly describe the books and records kept at this location.  $\ensuremath{\mathsf{CLIENT}}$  RECORDS

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

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