

Item 1 - Cover Page

Mark Braverman

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This brochure supplement provides information about Mark Braverman that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Mark Braverman is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Item 2 – Educational Background and Business Experience

Mr. Braverman was born in 1965. He received his JD from SUNY Buffalo School of Law and BBA from Hofstra University. He has worked as an Attorney at various firms since 2007. He has been an Independent Insurance agent for several years. He has worked in Real Estate in various positions since



2016. In 2025 he joined The Leaders Group, Inc. as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Braverman has no such issues on his record.

Item 4 - Other Business Activities

Mr. Braverman sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also sells insurance products through Trusted American Insurance Agency. He's an Attorney at Braverman Law Firm LLC. He's an Associate Broker offering real estate services through Charles Rutenberg Realty LLC.

Item 5 - Additional Compensation

Mr. Braverman provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or <u>compliance@tlgadvisors.net</u>.