



**Item 1 - Cover Page**

**James B. Spiers**

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This brochure supplement provides information about James B. Spiers that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about James B. Spiers is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Spiers was born in 1978. He attended Colorado Mesa University where he received his BS in Business. In 2012 he joined Alliance Insurance Group as a Risk Advisor. In 2019 he started Alliance Financial Group as an advisor. He currently holds FINRA series 6, 7 and 66 registrations.

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Spiers has no such issues on his record.

## **Item 4 - Other Business Activities**

Mr. Spiers sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He's also a Risk Advisor at Alliance Insurance Group where he sells other insurance products. He's an Advisor at Alliance Financial Group where he offers financial planning and advice.

## **Item 5 - Additional Compensation**

Mr. Spiers provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

## **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).