



## Item 1 - Cover Page

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This brochure supplement provides information about Z. Jane Riley that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Z. Jane Riley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Ms. Riley joined TLG Advisors, Inc. in 1998 and has served as Chief Compliance Officer since 2000. Prior to that, she worked in real estate sales and management for 12 years. Ms. Riley is a Certified Securities Compliance Professional™ (CSCP) and a member of the National Society of Compliance Professionals. She holds the FINRA Series 6, 7, 24, 26, 51, 63 and 65 securities registrations. Ms. Riley was born in 1962 and graduated from Colorado State University.



### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Ms. Riley has no such issues on her record.

### **Item 4 - Other Business Activities**

Ms. Riley also serves as the Chief Compliance Officer for The Leaders Group, Inc., a FINRA-registered broker-dealer that offers commission-based products (such as insurance or annuities).

### **Item 5 - Additional Compensation**

As Chief Compliance Officer, Ms. Riley does not provide financial-planning advice or sell commission-based products. She is paid for her duties as Chief Compliance Officer for The Leaders Group, Inc.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).