



Item 1 - Cover Page

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This brochure supplement provides information about James M. Raabe that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about James M. Raabe is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Raabe has been in the financial services industry since 1977 and is the Managing Member of Raabe & Associates LLC, where he specializes in retirement plans and estate planning. He is a Chartered Retirement Plans Specialist (CRPS), an Accredited Investment Fiduciary (AIF), and holds the FINRA Series 6, 63 and 65 securities registrations. Mr. Raabe was born in 1954 and received degrees in international



business from the University of Oregon as well as Nijenrode Instituut voor Bedrijfskunde in The Netherlands.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Raabe has no such issues on his record.

Item 4 - Other Business Activities

Mr. Raabe sells commission-based products (such as insurance or annuities) and is a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He may also sell other fixed insurance products through Raabe & Associates.

Item 5 - Additional Compensation

Mr. Raabe provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or compliance@tlgadvisors.net.