



## Item 1 - Cover Page

### **Brian K. Parker**

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This brochure supplement provides information about Brian K. Parker that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian K. Parker is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Parker offers investment advice and financial planning for Union Bridge Capital. He received his BA from Duquesne University in 1990. In 1993 he received his JD from the same university. Since 2015 he has been a Lawyer/Partner for Parker, Poe, Adams, & Bernstein. Before that he was a Lawyer at McGuire Woods.



### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Parker has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Parker has been a Partner at the law firm Parker, Poe, Adams, & Bernstein since 2015 where he provides legal and business advice to life science, retail energy and manufacturing corporations. He also provides consulting services through his company MITB, LLC. He provides management, business and operational advice to several companies in the Pittsburgh area.

### **Item 5 - Additional Compensation**

Mr. Parker provides investment-planning advice to his customers on a fee basis. He may also receive compensation from the activities in Item 4.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).