

Item 1 - Cover Page

Ronald G. Neuenschwander

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This brochure supplement provides information about Ronald G. Neuenschwander that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Ronald G. Neuenschwander is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Item 2 – Educational Background and Business Experience

Mr. Neuenschwander was born in 1951. He attended Oral Roberts University from 1970 until 1971. Then he attended University of Tulsa until 1973. He currently holds the Certified Financial Planner (CFP) designation. From 1990 until 1998 he was a Branch Manager at McDonald and Co. From 1998 until 2015 he worked as the Branch Manager and Financial Advisor as Senior Vice President of Investments at Wells



Fargo Advisors. In 2015 he stepped down as Branch Manager and remained as a Financial Advisor until December 2018. In 2019 he joined FSG, LLP as a Wholesaler. In 2020 he joined The Leaders Group, Inc. as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Neuenschwander has no such issues on his record.

Item 4 - Other Business Activities

Mr. Neuenschwander sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He is also a Wholesaler at FSG, LLP where he present ideas and insurance concepts to financial advisors with wire houses.

Item 5 - Additional Compensation

Mr. Neuenschwander provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or <u>compliance@tlgadvisors.net</u>.