



Item 1 - Cover Page

Tristan G. Leopold

800 Waterfront Drive, 3rd Floor

Pittsburgh, PA 15222

412-465-1490

tleopold@unionbridgecapital.com

<https://unionbridgecapital.com/>

TLG Advisors, Inc.

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

888-371-0013

www.tlgadvisors.net

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This brochure supplement provides information about Tristan G. Leopold that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Tristan G. Leopold is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Leopold was born in 2002. He received his B.S. in Finance from Pennsylvania State University (The Behrend College). In 2024 he joined Union Bridge Capital as a Junior Advisor/ Assistant to Dan



Thompson. Also, in 2024 he joined The Leaders Group, Inc. as a Registered Representative and in 2025 joined TLG Advisors, Inc. as an Investment Advisor Representative.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Leopold has no such issues on his record.

Item 4 - Other Business Activities

Mr. Leopold sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also works as a Junior Advisor/ Assistant to Dan Thompson at Union Bridge Capital.

Item 5 - Additional Compensation

Mr. Leopold provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or compliance@tlgadvisors.net.