



## Item 1 - Cover Page

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August 2023

This brochure supplement provides information about Richard J. Wall Jr that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard J. Wall Jr is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Wall was born in 1950. He has his A.B. (Philosophy) and J.D. (Law) from University of Notre Dame. Since 2018 he has been an Estate Planning Attorney at Richard J. Wall, Jr., P.C., L.L.O. In 2023 he joined TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Wall has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Wall is also the Owner of Estate Planning Law Firm of Richard J. Wall, Jr., P.C., L.L.O. He is the Owner of Cornhusker Financial Solutions, LLC, referring law firm clients and others for insurance and annuity products they need. He acts as Trustee of John Stone Trust Agreement.

### **Item 5 - Additional Compensation**

Mr. Wall provides investment-planning advice to his customers on a fee basis. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).