



## Item 1 - Cover Page

### **Douglas A. Gerrard**

407 Lincoln Road, Suite 8-G

Miami Beach, FL 33139

305-960-8903

[Doug.Gerrard@leadersgroup.net](mailto:Doug.Gerrard@leadersgroup.net)

### **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

888-371-0013

[www.tlgadvisors.net](http://www.tlgadvisors.net)

December 2023

This brochure supplement provides information about Douglas A. Gerrard that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas A. Gerrard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Gerrard was born September 13, 1962. He attended the University of Illinois where he received his B.A. in Economics in 1984. He previously worked for Provenance Wealth Advisors as a Financial Planner in Miami from November 2017 until December 2018.

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary

issues that you need to know about before deciding to invest your money with them. Mr. Gerrard has no such issues on his record.

#### **Item 4 - Other Business Activities**

Mr. Gerrard sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also sells other insurance products through Gerrard Advisors, LCC and Provenance Wealth Insurance Agency. He sells international insurance through Crown World Services, LTD. He is also an Investment Manager offering Private Equity/ Family Investment vehicles through Deere Park Capital, LLC.

#### **Item 5 - Additional Compensation**

Mr. Gerrard provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

#### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).