



## Item 1 - Cover Page

### **Cary C. Lucas**

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This brochure supplement provides information about Cary C. Lucas that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Cary C. Lucas is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Lucas was born in 1971. Since 2016 he has worked as an independent insurance agent. From 2013 until 2016 he was a Registered Representative with Wells Fargo Advisors. He currently holds the JD and CPA designations. In 2022 he started at UOG, Howard Center for Women's Health as the CFO and General Counsel. Also, 2022 he joined The Leaders Group, Inc. as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Lucas has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Lucas sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also works at UOG, Howard Center for Women's Health as the CFO and General Counsel. He also may sell other insurance products including property & casualty services, life insurance and annuities, group benefit and voluntary benefits as an independent insurance agent.

### **Item 5 - Additional Compensation**

Mr. Lucas provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).