

#### **Item 1 - Cover Page**

## Joseph W. Guess

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# **TLG Advisors, Inc.**

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This brochure supplement provides information about Joseph W. Guess that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph W. Guess is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

### **Item 2 – Educational Background and Business Experience**

Mr. Guess has been in the financial services industry since the mid-1980s. He joined TLG Advisors, Inc. as an investment advisory representative in 2007. He is a past president of the Jackson (MS) Association of Health Underwriters, and a past president of the Jackson (MS) National Association of Insurance and Financial Advisors. He holds the Life Underwriter Training Council Fellow (LUTCF) designation, as well as the FINRA Series 6, 7, 22, 24, 63 and 65 securities registrations. Mr. Guess was born in 1938 and graduated from Mississippi State University in 1961.



#### **Item 3 - Disciplinary Information**

Registered investment advisors are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Guess has no such issues on his record.

#### **Item 4 - Other Business Activities**

Mr. Guess sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also owns and operates farms in Attala and Holmes County, Mississippi. Mr. Guess also serves on the board of the following organizations: Board of Medical Benefits of the Mississippi Conference of the United Methodist Church, North Central Planning and Development District, and the Attala County Forestry Association.

### **Item 5 - Additional Compensation**

Mr. Guess provides investment-planning advice to his customers on a fee basis. If you purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

#### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or compliance@tlgadvisors.net.