



## Item 1 - Cover Page

### **Troy E. Woods**

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This brochure supplement provides information about Troy E. Woods that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Troy E. Woods is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Woods was born in 1967. He graduated from the University of Nebraska Omaha in 1991. He earned a B.S. in Business Administration, with a double major in Business Finance and Banking and Financial Markets. He's a Shareholder and Partner of Woods Abbott Shoemaker, LLC and it's wholly owned subsidiary, WAS Insurance, LLC dba Element Insurance Partners which is owned by Simplicity Group. In



2017 he joined The Leaders Group, Inc. as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Woods has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Woods sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. Mr. Woods is a Shareholder and Partner at Woods Abbott Shoemaker LLC, and WAS Insurance LLC (dba Element Insurance Partners), Simplicity Group companies. His activities with these firms include financial services, retail sales, and the wholesale of fixed/index/variable insurance products.

### **Item 5 - Additional Compensation**

Mr. Woods provides investment-planning advice to her customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. Mr. Woods may also receive compensation for his activities related to the other business activities mentioned in Item 4.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).