

Item 1- Cover Page

Daniel J. Thompson

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February 2020

This Brochure Supplement provides information about Daniel J. Thompson that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact TLG Advisors, Inc. if you did not receive TLG Advisors, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel J. Thompson is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Item 2- Educational Background and Business Experience

Mr. Thompson has been in the financial services industry since 1995. He offers financial planning and wealth management services to clients at Union Bridge Capital. He holds a Juris Doctor (JD), as well as the FINRA Series 6, 63 and 65 securities registrations. Mr. Thompson



was born in 1969 and graduated from Washington & Jefferson College and the University of Pittsburgh School of Law.

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Thompson has no such issues on his record.

Item 4- Other Business Activities

Mr. Thompson sells commission-based products, including insurance and annuities, as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also offers financial planning and wealth management services to clients at Union Bridge Capital. He is also an attorney/family advocate (dba Daniel J. Thompson, JD).

Item 5- Additional Compensation

Mr. Thompson provides investment-planning advice to his customers on a fee basis. Also, if you purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or <u>compliance@tlgadvisors.net</u>.