



Item 1 - Cover Page

Rhoneil A. Santo Tomas

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This brochure supplement provides information about Rhoneil A. Santo Tomas that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Rhoneil A. Santo Tomas is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Santo Tomas was born in 1964. He received his Associates degree in Art from Pasadena City College.



From August 2003 to May 2018 he was a Registered Representative for AXA Advisors, LLC. In 2016 he became an Associate for PFR Advisors, LLC. In May 2018 he became a Registered Representative for The Leaders Group, Inc.

Item 3 - Disciplinary Information

Registered Investment Advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Santo Tomas has no such issues on his record.

Item 4 - Other Business Activities

Mr. Santo Tomas sells commission-based products (such as insurance or annuities) as a Registered Representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. Additionally, he is an insurance Broker for Total Financial, Principal Financial Group, and First Financial Resources, LLC. He also works with two other insurance companies: DSV Financial Inc/HSI Benefits Group (as President), and PFR Advisors, LLC (as an Associate). He also owns rental property.

Item 5 - Additional Compensation

Mr. Santo Tomas provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from any of the activities details in Item 4 above.

Item 6 - Supervision

We supervise our Investment Advisor Representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or compliance@tlgadvisors.net.