



## Item 1 - Cover Page

# Miguel A. Castaneda

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This brochure supplement provides information about Miguel A. Castaneda that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Miguel A. Castaneda is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Castaneda was born in 1963. He received his ChFC (Chartered Financial Consultant) designation from American College for Financial Services in 2018. From 1983 until 1987 he attended Community College of the Air Force and received an Associate of Applied Science in Electronic Systems Technology. Since 2018

he has been studying at Thomas Edison State University working on a Bachelor of Science in Business Administration degree in Finance. From 2015 until 2020 he worked as a Wealth Management Advisor at TIAA-CREF. From 2020 until 2021 he worked at ProEquities Inc as an Investment Advisor Representative and Registered Representative. In 202 he also founded Arrival Point Financial (dba) Cornerstone Investments. In 2022 he joined The Leaders Group, Inc. as a Registered Representative and TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Castaneda was found liable for false statement(s) or omissions by the New York State Department of Financial Services for alleged materially incorrect and untrue information within the meaning of Section 2110(a)(2) of the Insurance Law in original application for insurance licensure in the state of New York. This was resolved by Stipulation and Consent and sanction of Monetary Penalty other than Fines in an amount of \$750.00.

### **Item 4 - Other Business Activities**

Mr. Castaneda sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He's also a Financial Professional and Owner of Arrival Point Financial and Cornerstone Investments where he sells insurance and investment products.

### **Item 5 - Additional Compensation**

Mr. Castaneda provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).