

### **Item 1- Cover Page**

# Barwick O. Barfield Jr.

13702 Coursey Blvd., Bldg 3-B Baton Rouge, LA 70817 225-361-8424

wbarfield@leadersgroup.net

# **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800 Littleton, CO 80120 888-371-0013

www.tlgadvisors.net

May 2022

This Brochure Supplement provides information about Barwick O. Barfield Jr. that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact TLG Advisors, Inc. if you did not receive TLG Advisors, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Barwick O. Barfield Jr. is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

## **Item 2- Educational Background and Business Experience**

Mr. Barfield was born in 1951 and has been in the financial services industry for over 40 years. He is a Chartered Financial Consultant (ChFC) and a Chartered Life Underwriter (CLU). Mr. Barfield has a B.S. in Business Administration and Management from the University if New Orleans and earned his MBA from Louisiana State University. Mr. Barfield also has the FINRA Series 7 and 63 securities registrations.



## **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Barfield has no such issues on his record.

#### **Item 4- Other Business Activities**

Mr. Barfield sells commission-based products, including insurance and annuities, as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer.

#### **Item 5- Additional Compensation**

Mr. Barfield provides investment-planning advice to his customers on a fee basis. Also, if you purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or <a href="mailto:compliance@tlqadvisors.net">compliance@tlqadvisors.net</a>.