



## Item 1 - Cover Page

### **Charles Auerbach**

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January 2023

This brochure supplement provides information about Charles Auerbach that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Auerbach is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Auerbach was born in 1948. He attended Brooklyn College and received his BS in Accounting in 1971. He has practiced as a financial advisor since January 1990 with numerous independent firms. From 2012 until 2019 he was a Registered Representative with LPL Financial. From 2009 until 2019 he was an



Investment Advisor Representative with Advantage Investment Management LLC. From 2019 until 2022 he was an Investment Advisor Representative with MAS Advisors LLC. In 2023 he joined TLG Advisors, Inc. as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Auerbach has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Auerbach sells fixed life and disability insurance products as an independent insurance agent. He works as a Financial Advisor at The Wealth Strategies Group.

### **Item 5 - Additional Compensation**

Mr. Auerbach provides investment-planning advice to his customers on a fee basis. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).