



## Item 1 - Cover Page

### **Emma R. Wheeler**

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This brochure supplement provides information about Emma R. Wheeler that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Emma R. Wheeler is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Ms. Wheeler was born in 1988. She received her Bachelor's degree in Business from the University of Houston. From April 2013 till December 2017, she worked as a Sales Representative at Tom James Company. In January 2018, she became a Sales Associate at Leaders Financial Group of Texas, as well a



Registered Representative of The Leaders Group, Inc. and an Investment Advisor Representative of TLG Advisors, Inc.

### **Item 3 - Disciplinary Information**

Registered Investment Advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Ms. Wheeler has no such issues on her record.

### **Item 4 - Other Business Activities**

Ms. Wheeler sells commission-based products (such as insurance or annuities) as a Registered Representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. In addition, she works as a Sales Representative for Leaders Financial Group of Texas.

### **Item 5 - Additional Compensation**

Ms. Wheeler provides investment-planning advice to her customers on a fee basis. If you were to purchase a commission-based product from her, she will explain how she is paid and how it differs from a fee-based transaction. In addition, she may receive compensation from the other business activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our Investment Advisor Representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).