



Item 1 - Cover Page

Rodney D. Dir

515 W Center Avenue

Visalia, CA 93291

559-733-3525

rod@pacificcapitalstrategies.com

TLG Advisors, Inc.

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

www.tlgadvisors.net

September 2021

This brochure supplement provides information about Rodney D. Dir that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Rodney D. Dir is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Dir was born in 1968. He was a registered representative 2012 until 2019, and from 2015 until 2019 as an Investment Advisor Representative at M Holdings Securities. In 2020 he was an Investment Advisor Representative at Southport Capital. In 2021 he joined TLG Advisors, Inc. as an Investment Advisor Representative. Since 2019 he has been the Manager of Crestwood Group Inc and sells fixed insurance products.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Dir has no such issues on his record.

Item 4 - Other Business Activities

Mr. Dir sells commission-based fixed insurance products. He's the owner and landlord of a commercial building. He's also the Manager of Crestwood Group Inc and Director of the Rodney D Dir/Pacific Capital Foundation a private charitable foundation.

Item 5 - Additional Compensation

Mr. Dir provides investment-planning advice to his customers on a fee basis. In addition, he/she may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or compliance@tlgadvisors.net.