



## Item 1 - Cover Page

### **David A. Monk II**

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This brochure supplement provides information about David A. Monk II that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about David A. Monk II is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Monk was born in 1969. From 1992 until 2016 he worked at ARAMARK as Director / General Manager Support Services. From 2017 to 2019 he worked at Capital One Investing as Vice President Financial Services. In 2019 he joined HHS Hospitality Services as Area Vice President. He received his Bachelor of Science in Management Science from State University Of New York, Oswego, NY. He received his Masters Business Administration (M.B.A.) in Corporate Business from Alvernia University, Reading, PA. He attended Management and Strategy Institute, Downingtown, PA in 2019, receiving six Sigma Green Belt



Certified (SSGBC) and Project Management – Lean Process Certified (PM-LPC) certifications. In 2019 he also attended Liberty University, Lynchburg, VA obtaining a Graduate Certificate in Healthcare Management.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Monk has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Monk sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He is also an independent insurance agent and may sell other fixed insurance products. He also works at HHS Hospitality Services as Area Vice President where he supports various managers in different hospitals with contractual cleaning services.

### **Item 5 - Additional Compensation**

Mr. Monk provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).