

**Item 1 - Cover Page**

**Dean Knieps**

300 S Wacker Drive, Suite 2000A

Chicago, IL 60606

708-220-5011

[dean@kmeins.com](mailto:dean@kmeins.com)

**TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

[www.tlgadvisors.net](http://www.tlgadvisors.net)

July 2020

This brochure supplement provides information about Dean Knieps that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Dean Knieps is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 – Educational Background and Business Experience**

Mr. Knieps was born in 1993. He attended St. Ambrose University from 2011-2015, receiving a Bachelor's in Finance and Accounting and a minor in Management. He worked for MassMutual as a Financial Representative from June, 2015 until December, 2016. Then as a Sales Assistant from August, 2016 until February, 2020; and as a Financial Advisor from February, 2020 until June, 2020. In June 2020 he joined KME Insurance Brokerage Inc as an internal wholesaler.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Knieps has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Knieps sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He is also an internal wholesaler for KME Insurance Brokerage Inc.

### **Item 5 - Additional Compensation**

Mr. Knieps provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).