



## Item 1 - Cover Page

### **Daniel E. Moroney**

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This brochure supplement provides information about Daniel E. Moroney that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel E. Moroney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Moroney was born in 1972. He received his bachelor's degree from Ohio State University in 1997. From 2007 until 2018 he was the Territory Sales Director for Nationwide Insurance. From 2019 to July 2020 he was a Regional Vice President at Millennium Brokerage Group. In August 2020 he joined Randy

Jones & Associates as the Manager of financial services. He currently holds the Certified Long-Term Care (CLTC) designation.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Moroney has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Moroney sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He's also the Manager of financial services at The Randy Jones Agency.

### **Item 5 - Additional Compensation**

Mr. Moroney provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).