



## Item 1 - Cover Page

### **Barry I. Goldstein**

2029 Century Park E, 4th Floor

Century City, CA 90067

310-489-3399

[barry@mypfr.com](mailto:barry@mypfr.com)

<https://bigaac.com/>

### **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

[www.tlgadvisors.net](http://www.tlgadvisors.net)

July 2020

This brochure supplement provides information about Barry I. Goldstein that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Barry I. Goldstein is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Goldstein was born in 1945. He received his BS degree from Northridge University. Since 1972 he has worked as a Certified Public Accountant, and started his own company Barry Ira Goldstein & Co in 1985. Since 2002 he has worked as an Investment Advisor Representative.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Goldstein has a charge from the State Board of Accountancy in 1992 (BOARD CASE NO. AC-90-13).

### **Item 4 - Other Business Activities**

Mr. Goldstein sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He is also a Certified Public Accountant at Barry Ira Goldstein & Co, an accountancy corporation.

### **Item 5 - Additional Compensation**

Mr. Goldstein provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 877-460-0254, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).