



Item 1 - Cover Page

Bernard E. Rosen

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This brochure supplement provides information about Bernard E. Rosen that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Bernard E. Rosen is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Rosen was born in 1948. In 1970, he earned his BA from American University. From 2004 to 2014, he was the Regional Sales Director at NY Life Securities, Inc. Then, from 2014 to 2016, he was the Senior



Vice President of The Gottlieb Organizations. He went on to work as an Agent for MassMutual Life Insurance Company from 2017 until 2018. He is currently the Owner/President of Rosen Executive Benefits Group, which he started in 2014.

Item 3 - Disciplinary Information

Registered Investment Advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Rosen has no such issues on his record.

Item 4 - Other Business Activities

Mr. Rosen sells commission-based products (such as insurance or annuities) as a Registered Representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. In addition, he sells other insurance products through Rosen Executive Benefits Group.

Item 5 - Additional Compensation

Mr. Rosen provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our Investment Advisor Representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or compliance@leadersgroup.net.