



## Item 1 - Cover Page

### **Richard D. Mack**

3388 Founders Road, Suite 100

Indianapolis, IN 46268

317-805-6701

[rmack@mackfinancial.com](mailto:rmack@mackfinancial.com)

<https://mackfinancial.com/>

### **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

[www.tlgadvisors.net](http://www.tlgadvisors.net)

March 2019

This brochure supplement provides information about Richard D. Mack that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard D. Mack is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Mack was born on February 8, 1955. He received his degree from Marion College in Indianapolis.



From 1981 to January 2019, he worked as an Insurance Agent for Pacific Financial Companies. Since 1992, he has been the Owner and President of Mack Financial Group Inc.

### **Item 3 - Disciplinary Information**

Registered Investment Advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Mack has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Mack sells commission-based products (such as insurance or annuities) as a Registered Representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. In addition, he is the President of Mack Financial Group, Inc., an insurance agency and sells other fixed insurance products through this company.

### **Item 5 - Additional Compensation**

Mr. Mack provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he receives profit sharing from his insurance business and activities. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our Investment Advisor Representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or [compliance@leadersgroup.net](mailto:compliance@leadersgroup.net).