



## Item 1 - Cover Page

### **Troy E. Woods**

9869 S 168<sup>th</sup> Ave., Suite 1C

Omaha, NE 68136

402-697-5074

[twoods@elementinsurancepartners.com](mailto:twoods@elementinsurancepartners.com)

<http://www.elementinsurancepartners.com/>

### **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

[www.tlgadvisors.net](http://www.tlgadvisors.net)

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This brochure supplement provides information about Troy E. Woods that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Troy E. Woods is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Woods was born in 1967. He graduated from the University of Nebraska Omaha in 1991. He earned a B.S. in Business Administration, with a double major in Business Finance and Banking and Financial Markets. He is the owner of Troy E. Woods & Associates, Inc. since 2006. Additionally, he owns Woods Abbott Shoemaker LLC since 2013.

### Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Woods has no such issues on his record.

### Item 4 - Other Business Activities

Mr. Woods sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. Mr. Woods is owner of Troy E. Woods & Associates Inc., Woods Abbott Shoemaker LLC, and Was Insurance LLC (dba Element Insurance Partners). His activities with these firms include financial services, retail sales, and the wholesale of fixed/index/variable insurance products.

### Item 5 - Additional Compensation

Mr. Woods provides investment-planning advice to her customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. Mr. Woods may also receive compensation for his activities related to the other business activities mentioned in Item 4.

### Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or [compliance@leadersgroup.net](mailto:compliance@leadersgroup.net).