



## Item 1 - Cover Page

# Douglas A. Clermont

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This brochure supplement provides information about Douglas A. Clermont that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas A. Clermont is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Clermont was born in 1976 and earned his Bachelor of Arts degree from Augustana College in 1998. He worked as an insurance agent with New York Life Insurance from December 1999 until March 2011. During that time, he was also a Registered Representative of New York Life Securities, LLC from March 2000 until March 2011. Towards the end of that time period, Mr. Clermont worked as an investment

adviser representative with Eagle Strategies, LLC from December 2010 through March 2011. In April 2011, Mr. Clermont joined Principal Life Insurance Company where he served as an agent/managing director while working concurrently as a registered representative with Princor Financial Services Corporation. Mr. Clermont currently holds professional designations as a Chartered Life Underwriter (CLU) and a Certified Financial Planner (CFP).

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Clermont has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Clermont sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also is an agent/area vice president and registered representative selling fixed life, fixed annuity, DI, health insurance, and LTC products with GBS Insurance and Financial Services (formerly South Cap Group).

### **Item 5 - Additional Compensation**

Mr. Clermont provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@tlgadvisors.net](mailto:compliance@tlgadvisors.net).