



TLG Advisors, Inc.

Wealth Event Disclosure Form

Investments made as a result of a Wealth Event (i.e. inheritance, 401(k) rollovers, divorce settlements, IRA rollovers, sale of a business, sale of a home, or any event that causes a drastic increase in investable assets) should be given added scrutiny because of the potential consequences of uninformed investment choices.

A misunderstanding of fees, liquidity, surrender schedule, required minimum distributions, or potential tax consequences can cause a customer to make an investment that may not fit their future needs and objectives. TLG Advisors, Inc. wants you to be fully aware of the product you are purchasing. Plans and IRAs typically involve investment related expenses and account fees and different levels of services. Early withdrawal penalties, and creditor protection may change as the result of a new investment. This change of investment may result in higher fees than the plan or product that you're currently in. Please read the following carefully, check each line and sign at the bottom.

	Investment Being Surrendered	New Investment
Product Sponsor/Manager		
Product/Management Fees	%	%
Investment Benefits		
Investment Amount		\$
Source of Funds <i>(see list above)</i>		

I have been informed of the following (check all that apply):

- There may be tax implications should I need to withdraw the money early
- My new product has fees different from the account that I'm moving from
- The surrender schedule on an investment can affect my required minimum distribution
- Risks may include market risk, inflation risk, issuer credit risk

My Financial Advisor has made me aware of the following and has discussed the different alternatives for this investment:

- | | |
|---|---|
| <ul style="list-style-type: none"> • I may be able to leave the funds in my former employer's plan if permitted. • I may be able to roll the assets over to my new employer's plan. • I may be able to roll the assets over to an IRA • I may be able to withdraw all the assets for my personal use. • There are potential fees imposed by the new account that weren't applicable to the old account | <ul style="list-style-type: none"> • There may be a surrender period for the new investment, which is to say, a time period in which this investment is illiquid. • There could be negative tax consequences as a result of this transaction. My financial advisor has suggested that I consult with my tax professional. • My financial advisor may receive compensation as a result of this transaction. |
|---|---|

Customer Name

JT Customer Name

Financial Advisor(s) Name

Customer Signature

JT Customer Signature

Financial Advisor(s) Signature