



## Item 1 - Cover Page

### **Phillip J. Bucaro**

85 W. Algonquin Road, Suite 395

Arlington Heights, IL 60005

(847) 392-4100

[phillip@rifgllc.com](mailto:phillip@rifgllc.com)

<http://WWW.RIFGLLC.COM>

### **TLG Advisors, Inc.**

26 West Dry Creek Circle, Suite 800

Littleton, CO 80120

303-797-9080

[www.tlgadvisors.net](http://www.tlgadvisors.net)

March 2019

This brochure supplement provides information about Phillip J. Bucaro that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Phillip J. Bucaro is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Mr. Bucaro has been in the financial services industry since 1991. Mr. Bucaro was born in 1968 and attended Northern Illinois University from 1987-1991.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Bucaro was fined and suspended from FINRA registration for four months in 2014 for engaging in unapproved outside business activities with a prior broker dealer.

### **Item 4 - Other Business Activities**

Mr. Bucaro sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. Mr. Bucaro also sells Insurance products through Resource Insurance & Financial Group.

### **Item 5 - Additional Compensation**

Mr. Bucaro provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or [compliance@leadersgroup.net](mailto:compliance@leadersgroup.net).