



## Item 1 - Cover Page

### **James H. Berry**

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This brochure supplement provides information about James Berry that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about James Berry is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Mr. Berry was born in 1980 and graduated from California Polytechnic University in San Luis Obispo with a Bachelor of Science degree. Mr. Berry has been in the financial services industry for several years. He was Managing Partner of Brandt Financial, LLC from 2013 until 2019, offering insurance products through Brandt Insurance Agency. In May 2019, Mr. Berry created a new insurance and financial advisory agency



Berry Financial & Insurance Solutions. Mr. Berry holds the Series 6, 63 and 65 securities registrations and the Life Underwriter Training Council Fellow (LUTCF) designation from American College.

### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Berry has no such issues on his record.

### **Item 4 - Other Business Activities**

Mr. Berry sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. Mr. Berry is the owner of Berry Financial & Insurance Solutions which he uses as a DBA for his insurance and financial service product sales.

### **Item 5 - Additional Compensation**

Mr. Berry provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. He may also receive compensation from the activities in Item 4.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 888-371-0013, or [compliance@advisors.net](mailto:compliance@advisors.net).