



## Item 1 - Cover Page

### **Mary E. Schaaf**

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This brochure supplement provides information about Mary E. Schaaf that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about Mary E. Schaaf is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Ms. Schaaf has been in the financial services industry for over 30 years. Prior to joining TLG Advisors in 2012, she was a senior financial advisor at Merrill Lynch. She holds the FINRA Series 7, 63 and 65 securities registrations. Ms. Schaaf was born in 1947 and received degrees from Mercyhurst University and Edinboro University.



### **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Ms. Schaaf has no such issues on her record.

### **Item 4 - Other Business Activities**

Ms. Schaaf sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. She may also sell other insurance products through Northcoast Brokerage. She also serves as the controller for Erie County, PA.

### **Item 5 - Additional Compensation**

Ms. Schaaf provides investment-planning advice to her customers on a fee basis. If you were to purchase a commission-based product from her, she will explain how she is paid and how it differs from a fee-based transaction. In addition, she may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or [compliance@leadersgroup.net](mailto:compliance@leadersgroup.net).