



## Item 1- Cover Page

### **Donald P. Monti**

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This Brochure Supplement provides information about Donald P. Monti that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact TLG Advisors, Inc. if you did not receive TLG Advisors, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Donald P. Monti is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2- Educational Background and Business Experience

Mr. Monti has served as a financial advisor and a practicing attorney for over 40 years. He offers investment advice and financial planning for TLG Advisors, Inc. Mr. Monti holds the FINRA Series 3, 7, 24, 63 and 65 securities registrations. He was born in 1941, graduated from



Carnegie Mellon University, and received a Juris Doctor from the Duquesne University School of Law.

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Monti has no such issues on his record.

### **Item 4- Other Business Activities**

Mr. Monti sells commission-based products, including insurance and annuities, as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer.

### **Item 5- Additional Compensation**

Mr. Monti provides investment-planning advice to his customers on a fee basis. Also, if you purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

### **Item 6 - Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or [compliance@leadersgroup.net](mailto:compliance@leadersgroup.net).