



Item 1 - Cover Page

James V. Connell Jr.

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This brochure supplement provides information about James V. Connell Jr. that supplements the TLG Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact TLG Advisors, Inc. if you did not receive TLGA's brochure or if you have any questions about the contents of this supplement.

Additional information about James V. Connell Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mr. Connell has been in the financial services industry since 1991. Mr. Connell was born in 1965. Mr. Connell graduated from Bishop Ludden High School before attending Colgate University and Rochester Institute of Technology where he graduated with a Bachelor's degree in Accounting. Out of college he spent five years working at Dermody, Burke & Brown, CPAs as an accountant before joining his father at



Connell Financial Group, LLC in 1991. Mr. Connell is also a Certified Public Accountant (CPA) which he obtained in 1991.

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all relevant facts about any legal or disciplinary issues that you need to know about before deciding to invest your money with them. Mr. Connell has no such issues on his record.

Item 4 - Other Business Activities

Mr. Connell sells commission-based products (such as insurance or annuities) as a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. He also sells fixed insurance products through his company Connell Financial Group.

Item 5 - Additional Compensation

Mr. Connell provides investment-planning advice to his customers on a fee basis. If you were to purchase a commission-based product from him, he will explain how he is paid and how it differs from a fee-based transaction. In addition, he may receive compensation from the activities detailed in Item 4 above.

Item 6 - Supervision

We supervise our investment advisor representatives in the following ways:

- Compare activity in your account with your investment objectives;
- Ensure that your financial information and investment objectives have been recorded;
- Confirm that you are contacted annually to find out if there are any changes in your financial information or investment objectives;
- Verify that you receive quarterly statements;
- Make sure your advisory fees are being charged correctly;
- Ensure that we comply with your wishes concerning directed brokerage arrangements;
- Check to see if you are invested in securities that don't match your risk tolerance.

Advisory representatives are supervised by Jane Riley, TLG Advisors, Inc. Chief Compliance Officer. She may be reached at 303-797-9080, or compliance@leadersgroup.net.