



CONTACT ACCOUNTS
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ENTITY ACCOUNT FORM

FOR USE WITH TRUSTS, RETIREMENT PLANS, CORPORATIONS, LLCs, UNINCORPORATED BUSINESSES, SOLE PROPRIETORSHIPS, AND PARTNERSHIPS

According to the USA PATRIOT ACT (Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001) we must verify the identity of every customer opening an account with TLG Advisors. Therefore, you will be asked to furnish documents suitable for verification. These include: unexpired government-issued ID bearing a photograph for an individual; or registered articles of incorporation, government-issued business license, a partnership agreement or a trust instrument for a corporation or trust. We may also use third-party sources such as government lists or consumer re-reporting agencies to verify identity.

TLG Advisors, Inc. Privacy Statement:

TLG Advisors, Inc. is an SEC Registered Investment Advisor. As a client, you should be aware of the firms' policies regarding our use of the information you provide us when establishing or while maintaining a relationship with us.

Privacy Policy

Who is providing this notice? TLG Advisors, Inc. **How does TLG Advisors, Inc. collect my personal information?** We collect your personal information, for example, when you: open an account or update an existing account. **What does TLG Advisors, Inc. do with your personal information?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. The types of personal information we collect and share depend on the product or service you have with us. This information can include: Social Security number and account numbers. When you are *no longer* our customer, we continue to share your information as described in this notice. All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client personal information; the reasons TLG Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does TLG Advisors share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account (s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Yes
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	Yes
For non-affiliates to market to you	No	Yes

Definitions

Affiliates: companies related by common ownership or control. They can be financial and non-financial companies.

Non-affiliates: companies not related by common ownership or control. They can be financial and non-financial companies.

Joint marketing: A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Why can't I limit all sharing? Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness; affiliates from using your information to market to you; sharing for non-affiliates to market to you. **How does TLG Advisors protect my personal information?** To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and buildings.

Changes to Firm Privacy Policies

From time to time the firms may update their privacy policies. All new clients will receive the firms' most current privacy policy upon entering into an agreement or opening an account.

Maintaining the Accuracy of Client Information

Keeping client account information accurate and up-to-date is very important to us. If you ever find that your account information is incomplete, inaccurate or not current, please contact us via the address, phone number or website listed below. We will correct any inaccurate or outdated information as soon as possible.

Business Continuity Statement

TLG Advisors, Inc. is responsible for creating and maintaining business continuity plans for all of its businesses. In the event of a business disruption, we have plans designed to allow us to continue operations of critical business functions. As part of our plans, we have identified the applications that are critical to each of our departments. As an introducing investment advisor, it is important to realize that we do not hold any customer funds. In the event of a major disruption, customers still will have full access to their accounts by contacting the custodian directly. This structure is recognized worldwide as providing investors with an unsurpassed level of liquidity and security for our customers' assets. Although we believe we have a sound business recovery plan, we cannot guarantee that systems will always be available or recoverable after a disaster or significant business disruption. However, we believe that our planning for such events is robust and consistent with many of the best practices established within the industry. Any material changes to the above information will be available upon request.

I, the undersigned, understand that in accordance with the USA PATRIOT ACT, TLG Advisors, Inc. must verify my identity. I have provided identification requested and understand that other methods such as consumer reporting agencies or government sources may also be used. I verify that the information listed herein is accurate and that it is true and correct.

The information contained herein is confidential and TLG Advisors, Inc. will only use such information as outlined in TLG Advisors, Inc. Privacy Statement. The e-mail address will only be used for TLG Advisors to contact me. By signing this form, I consent to receive notices via electronic delivery.

For questions or complaints, contact us at: 26 West Dry Creek Circle, Suite 800, Littleton, CO 80120, (888) 371-0013, or www.tlgadvisors.net.

This agreement contains a predispute arbitration clause. By signing an arbitration agreement the parties agree as follows:

- 1) All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- 2) Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- 3) The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- 4) The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- 5) The panel of arbitrators may include a minority of arbitrators who were or are affiliated with the securities industry.
- 6) The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- 7) The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

By signing this form I certify that this account is being directed using the independent judgment of the account holders.