



CONTACT ACCOUNTS
P: 303-797-9080 x1620
E: accounts@leadersgroup.net

26 West Dry Creek Circle
Suite 800
Littleton, CO 80120

ADVISORY ACCOUNT PROFILE

It is **required** that you, the client, provide a trusted emergency contact person (or decline) and authorize us to use them as a resource in responding to concerns about your health and others who claim to have legal authority over your accounts. This person is authorized to disclose information & confirm specifics regarding you and your account, but is **NOT** authorized to transact business on behalf of you, the client, and your accounts. I decline to provide this information

First Name	Middle Name	Last Name	
Email Address (required)		Phone Number	
Address	City	State	Zip

Business Continuity Statement

TLG Advisors, Inc. is responsible for creating and maintaining business continuity plans for all of its businesses. In the event of a business disruption, we have plans designed to allow us to continue operations of critical business functions. As part of our plans, we have identified the applications that are critical to each of our departments. As an introducing investment advisor, it is important to realize that we do not hold any customer funds. In the event of a major disruption, customers still will have full access to their accounts by contacting the custodian directly. This structure is recognized worldwide as providing investors with an unsurpassed level of liquidity and security for our customers' assets. Although we believe we have a sound business recovery plan, we cannot guarantee that systems will always be available or recoverable after a disaster or significant business disruption. However, we believe that our planning for such events is robust and consistent with many of the best practices established within the industry. Any material changes to the above information will be available upon request.

TLG Advisors, Inc. Privacy Statement - TLG Advisors, Inc. is an SEC Registered Investment Advisor. As a client, you should be aware of the firms' policies regarding our use of the information you provide us when establishing or while maintaining a relationship with us.

Privacy Policy - Who is providing this notice? TLG Advisors, Inc.

How does TLG Advisors, Inc. collect my personal information? We collect your personal information, for example, when you: open an account or update an existing account.

What does TLG Advisors, Inc. do with your personal information? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. The types of personal information we collect and share depend on the product or service you have with us. This information can include: Social Security number and account numbers. When you are *no longer* our customer, we continue to share your information as described in this notice. All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client personal information; the reasons TLG Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does TLG Advisors, Inc. share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Yes
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	Yes
For non-affiliates to market to you	No	Yes

Definitions: Affiliates: companies related by common ownership or control. They can be financial and non-financial companies. Non-affiliates: companies not related by common ownership or control. They can be financial and non-financial companies. Joint marketing: A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Why can't I limit all sharing? Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness; affiliates from using your information to market to you; sharing for non-affiliates to market to you.

How does TLG Advisors, Inc. protect my personal information? To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and buildings.

Changes to Firm Privacy Policies - From time to time the firms may update their privacy policies. All new clients will receive the firms' most current privacy policy upon entering into an agreement or opening an account.

Agreement to Arbitrate Controversies - This agreement contains a predispute arbitration clause. By signing an arbitration agreement the parties agree as follows:

- 1) All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- 2) Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- 3) The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- 4) The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- 5) The panel of arbitrators may include a minority of arbitrators who were or are affiliated with the securities industry.
- 6) The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- 7) The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

For questions or complaints, contact us at: 26 West Dry Creek Circle, Suite 800, Littleton, CO 80120, (877) 460-0254, or www.tlgadvisors.net

I/We consent to receive exclusively through electronic means all notices, disclosures, authorizations, acknowledgments, and other documents that are required to be provided or made available to me by TLG Advisors, Inc. during the course of my relationship with you.

FOR FINANCIAL ADVISOR			
FORM CRS DELIVERY		THIS CLIENT IS:	
Which method did you deliver the Form CRS to the client, paper or electronic?		Known to me	Referred to me
Electronic	Paper		
Date Delivered _____		Are you registered in the customer's residence state?	
		Yes	No
ADDITIONAL DISCLOSURES, NOTES, ETC.			
ALL INFORMATION MUST BE FILLED OUT COMPLETELY OR APPLICATION AND NEW ACCOUNT FORM WILL BE RETURNED			

ADV Receipt Ac no led e nt
si nin this for ,I ac no led e that advisor has provided e ith the Fir s ADV Part A, the advisors ADV Part , and the Fir s CRS ADV Part . I can also access these in the future visitin .tl advisors.net.

X _____
CUSTOMER SIGNATURE DATE

FINANCIAL ADVISOR NAME REP #

X _____
JT CUSTOMER SIGNATURE DATE

FINANCIAL ADVISOR SIGNATURE DATE